

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 9-10, 2016

Western Illinois University
Moline, Illinois

Agenda Topic	Page	Action
<u>June 9, 2016 – Room 3420A, Building C</u>		
Motion to Convene to Open Session – 7:00 p.m.		
Roll Call		Action
Motion to Permit Remote Attendance		
Roll Call		Action
Motion to Convene to Closed Session – 7:00 p.m.		
Roll Call		Action
Closed Session		
Motion to Reconvene in Open Session		
Adjourn		
<u>June 10, 2016 – Riverfront Campus Rooms 103 & 104</u>		
Motion to Convene to Open Session – 8:00 a.m.		
Roll Call		Action
Review and Approval of March 10-11, 2016 Board Meeting Minutes	5	Action
Review and Approval of April 20, 2016 Board Meeting Minutes	5	Action
Public Comments		
Chairperson’s Remarks		
Roger Clawson		

President’s Remarks

Jack Thomas

Assistant to the President for Governmental Relations Report

Jeanette Malafa

General Comments by Vice Presidents

- Dr. Kathleen Neumann, Interim Provost and Academic Vice President
- Mr. Brad Bainter, Vice President for Advancement and Public Services
- Mr. Matthew Bierman, Interim Vice President for Administrative Services
- Ms. Deborah Kepple-Mamros, Assistant to the Vice President for Quad Cities and Planning
- Dr. Ronald Williams, Interim Vice President for Student Services

Advisory Group Reports and Comments

- Teresa Koltzenburg – President, Council of Administrative Personnel – Macomb
& Audrey Adamson – Representative, Council of Administrative Personnel – Quad Cities
- Christopher Pynes – Chair, Faculty Senate – Macomb
& Padmaja Pillutla – Chair, Faculty Council – Quad Cities
- Dovile Svirupskaite – President-Elect, Student Government Association – Macomb
& Austin Frank – President, Student Government Association – Quad Cities
- Karen Trusley – President, Civil Service Employees Council – Macomb
& Alison Shook – Representative, Civil Service Employees Council – Quad Cities

Board Committees

Finance Committee

Todd Lester, Chair

Report No. 16.6/1	7	
Report on Contributions (Vice President Brad Bainter)		
Report No. 16.6/2	21	
Repair and Maintenance of Official Residence (Interim Vice President Matt Bierman)		
Report No. 16.6/3	23	
Purchases of \$100,000-\$499,999.99 (Interim Vice President Matt Bierman)		
Resolution No. 16.6/1	27	Action
Purchases of \$500,000 or more (Interim Vice President Matt Bierman)		
Resolution No. 16.6/2	29	Action
New or Changed Capital Reserves for Non-Indentured Entities (Interim Vice President Matt Bierman)		

Agenda Topic	Page	Action
Resolution No. 16.6/3 FY2017 Preliminary Spending Plan (Interim Vice President Matt Bierman)	31	Action
Resolution No. 16.6/4 Property Easement – McDonough County (Interim Vice President Matt Bierman)	33	Action
Academic & Student Services Committee		
Cathy Early, Chair		
Report No. 16.6/4..... Annual Listing of Academic Program Changes (Interim Provost Kathy Neumann)	35	
Report No. 16.6/5..... Report on Academic Curricular and Administrative Changes (Interim Provost Kathy Neumann)	43	
Resolution No. 16.6/5 Academic Program Review Recommendations (Interim Provost Kathy Neumann)	45	Action
Resolution No. 16.6/6 Tenure Recommendations for 2016-2017 (Interim Provost Kathy Neumann)	47	Action
Audit Committee		
Roger Clawson, Chair		
Report No. 16.6/6..... External Audit Report (Assistant Comptroller Brittany Kruse)	49	
Resolution No. 16.6/7 Establishment of the Western Illinois University Board of Trustees Audit Committee Charter (Internal Auditor Mike Sartorius)	51	Action
Presidential Assessment and Evaluation Committee		
Yvonne Savala, Chair		
Resolution No. 16.6/8 Presidential Assessment and Contract	55	Action

Agenda Topic	Page	Action
General Discussion and Action Items		
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Quarterly Long Term Plan Update (Vice President Joe Rives and Assistant to the Vice President Debbie Kepple-Mamros)		
Report No. 16.6/8.....	59	
Higher Learning Commission: Western Illinois University Quality Initiative Report (Vice President Joe Rives and Assistant to the Vice President Debbie Kepple-Mamros)		
Report No. 16.6/9.....	73	
FY16 Quad Cities Graduate Study Center Update (Vice President Joe Rives and Assistant to the Vice President Debbie Kepple-Mamros)		
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Higher Values in Higher Education 2016-2026 Update (President Jack Thomas and Vice President Joe Rives)		
Resolution No. 16.6/9	87	Action
Higher Values in Higher Education 2016-2026 (President Jack Thomas and Vice President Joe Rives)		
Resolution No. 16.6/10	93	Action
Release of December 17-18, 2015, January 25, 2016 and March 10, 2016 and April 20, 2016 Closed Session Meeting Minutes		
Resolution No. 16.6/11	95	Action
Revision to Board <i>Regulations</i> , II.C.7.b.(4) Vacation and II.C.7.d.(4)(a) Sick Leave – First Reading (Interim Vice President Matt Bierman)		
Resolution No. 16.6/12	99	Action
University Professionals of Illinois (UPI) Local 4100 Memorandum of Understanding (Interim Provost Kathy Neumann)		
Resolution No. 16.6/13	103	Action
Election of Officers of the Board for July 1, 2016-June 30, 2017		
Resolution No. 16.6/14	107	Action
Trustee Appreciation – Michael Quigley		
Old Business		
New Business		
Next Meeting		
July 14-15, 2016 – Retreat – WIU, Macomb		
October 6-7, 2016 – WIU, Macomb		
Adjourn		Action

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Review and Approval of the March 10-11, 2016 and
April 20, 2016 Board Meeting Minutes

Minutes are available for review at:

http://www.wiu.edu/board_of_trustees/minutes/index.php

WESTERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES

June 10, 2016

Report No. 16.6/1
Report on Contributions
Vice President Brad Bainter



Interim Campaign Progress Report

July 1, 2015 - June 30, 2016

Pledges Made	Planned Gifts	Total Pledges
\$ 1,599,477	\$ 989,800	\$ 2,589,277
Cash Gifts	Gifts-in- Kind	Total Receipts
\$ 2,212,689	\$ 157,175	\$ 2,369,864

	Overall Total	Goal	% to Goal
FY2016	\$ 4,959,141	\$ 9,000,000	55.1%

Outside scholarships not included in report: \$ 1,202,553

PURPOSE: Record of fiscal year goals and progress

AUDIENCE: Internal use for administrators, development officers, and board members

NOTES: *Cash Gifts do not include pledge payments.

Gifts-in-kind do not include service or noncharitable gifts-in-kind.

Printed on 2016-05-18



Interim Campaign Progress Report

July 1, 2015 - June 30, 2016

COLLEGE/UNIT	PLEDGES	GIFTS	GIFTS- IN-KIND	PLANNED GIFTS	FISCAL YEAR TOTAL
CAS	191,417	293,386	312	515,000	1,000,115
CBT	223,041	379,204	29,010	0	631,256
COEHS	73,529	126,902	1,499	74,797	276,728
COFAC	50,093	88,842	39,954	0	178,889
LIBRARY	36,766	7,280	15,111	0	59,157
HONORS	1,980	53,645	797	0	56,422
INTL STUDIES	17,303	14,682	0	0	31,985
STUDENT SERVICES	240,460	35,257	513	0	276,230
QC	217,662	30,318	500	0	248,480
WQPT	38,609	362,924	18,659	0	420,191
ATHLETICS	326,848	198,887	45,053	0	570,788
ALUMNI	10,163	16,307	1,374	0	27,844
BGS	6,743	9,030	0	0	15,773
TRI-STATES RADIO	72,119	171,070	500	0	243,688
PFA	13,085	1,665	0	0	14,750
UNIV SCHOLARSHIPS	72,616	292,913	2,096	370,000	737,625
OTHER	7,044	130,377	1,796	30,003	169,220
UNIVERSITY TOTALS:	1,599,477	2,212,689	157,175	989,800	4,959,141

Outside scholarships not included in report: \$ 1,202,553

PURPOSE: Record of fiscal year progress by unit

AUDIENCE: Internal use for administrators, development officers, and board members

NOTES: Gifts do not include pledge payments.

Radio is separate from COFAC; Parent and Family Association is separate from Student Services.

Gifts-in-kind do not include service or noncharitable gifts-in-kind.

Printed on 2016-05-18



WESTERN
ILLINOIS
UNIVERSITY

Significant Donors Report

Gifts of \$5,000 or more for Fiscal Year July 1, 2015 - June 30, 2016

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
ADM FARMS	0	0	0	0	0	0	26,000	0	0	0	0	0	26,000
AGRIBANK	0	0	0	0	0	0	12,500	0	0	0	0	0	12,500
AGRIGOLD	0	0	0	0	0	0	10,000	0	0	0	0	0	10,000
PHIL AKIN	0	0	0	0	0	0	0	0	0	0	0	0	0
RUTH AKIN	0	25,000	0	0	0	25,000	50	40	0	0	0	0	90
ANONYMOUS	20	0	0	0	0	20	10,998	20	0	0	811	150	11,979
ANONYMOUS	5,090	0	0	0	0	5,090	165	5,030	0	0	198	0	5,393
APPLE COMPUTER INC	0	0	0	0	0	0	40,537	0	0	0	0	0	40,537
ARCHER-DANIELS-MIDLAND COMPANY	90,000	0	0	0	0	90,000	2,000	90,000	0	0	0	0	92,000
BARB BAILY	2,340	0	0	0	0	2,340	0	2,340	0	0	400	0	2,740
JACK BAILY	2,340	0	0	0	0	2,340	0	2,340	0	0	400	0	2,740
BRAD BANTER	7,507	0	0	0	0	7,507	1,855	9,717	0	0	0	0	11,572
ANN BAISE	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
GARY BAISE	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
BASF CORPORATION	0	0	0	0	0	0	27,500	0	0	0	0	0	27,500
BAYER CORPORATION	0	0	0	0	0	0	8,200	0	0	0	0	0	8,200
PEGGY BECKMAN	1,505	0	0	0	0	1,505	5,000	1,505	0	0	0	0	6,505
GIL BELLES	2,060	0	0	0	0	2,060	8,167	2,060	22,000	0	12	0	32,239
ALICE BERNTSON	0	220,000	0	0	0	220,000	0	0	0	0	0	0	0
TOM BERNTSON	0	220,000	0	0	0	220,000	0	0	0	0	0	0	0
MARION BLACKINTON	6,100	0	0	0	0	6,100	240	6,100	0	0	0	0	6,340
GINNY BOYNTON	5,785	0	0	0	0	5,785	1,730	7,297	0	0	0	0	9,027
DRAKE BREWSTER	0	0	0	0	0	0	6,000	0	0	0	0	0	6,000
CHARLIE CAREY	10,000	0	0	0	0	10,000	500	10,000	0	0	0	0	10,500
LINDA CAREY	0	0	0	0	0	0	0	0	0	0	0	0	0
DEBRA CARTER	2,388	0	0	0	0	2,388	394	2,388	0	0	200	0	2,982
JIM CARTER	2,388	0	0	0	0	2,388	394	2,388	0	0	200	0	2,982
STEVE CARTER	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
CC SERVICES INC	0	0	0	0	0	0	500	10,000	0	0	0	0	10,500
CHICAGO MERCANTILE EXCHANGE GROUP FOUNDATION	10,000	0	0	0	0	10,000	0	10,000	0	0	0	0	10,000
ROSELYN CHOWN	151,500	0	0	0	0	151,500	168	51,500	0	0	0	0	51,668
KAREN CHRISTIE	2,500	0	0	0	0	2,500	0	2,500	0	0	0	0	2,500
NORMAN CHRISTIE	2,500	0	0	0	0	2,500	0	2,500	0	0	0	0	2,500
CHERIE CLARK	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000
ERIC CLARK	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000
COBANK	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
ERNIE CODILIS	8,000	0	0	0	0	8,000	50,000	8,000	0	0	0	0	58,000
PAMELA CODILIS	500	0	0	0	0	500	0	500	0	0	0	0	500
COMMUNITY FOUNDATION GREAT RIVER BEND	2,500	0	0	0	0	2,500	6,500	2,500	0	0	0	0	9,000
MARY ANN COOK	0	0	0	0	0	0	10,000	0	0	0	0	0	10,000
DAVENPORT JAYCEES FOUNDATION	0	0	0	0	0	0	0	10,000	0	0	0	0	10,000
DON DEXTER	100	0	0	0	0	100	100	10,100	0	0	0	0	10,200
VIRGINIA DIEHL	1,100	0	0	0	0	1,100	0	1,100	0	0	0	0	1,100
JOHN DISTEFANO	250	0	0	0	0	250	4,250	250	0	0	0	0	4,500
KIM DISTEFANO	250	0	0	0	0	250	4,250	250	0	0	0	0	4,500
RUSSELL DOHNER	0	75,000	0	0	0	75,000	60,000	0	0	0	0	0	60,000
DORIS & VICTOR DAY FOUNDATION	25,000	0	0	0	0	25,000	1,000	25,000	0	0	0	0	26,000
DOT FOODS INC	0	0	0	0	0	0	10,000	0	0	0	0	0	10,000
JEAN DOUGHERTY	0	22,500	0	0	0	22,500	0	0	0	0	0	0	0
LYNN DOUGHERTY	0	22,500	0	0	0	22,500	0	0	0	0	0	0	0
GINGER DYKSTRA	30,000	0	0	0	0	30,000	10,000	10,000	0	0	0	0	20,000
CATHY EARLY	2,750	0	0	0	0	2,750	2,409	2,750	0	0	0	0	5,159
ELECTRONIC THEATRE CONTROLS	0	0	0	0	0	0	0	0	0	0	9,560	0	9,560
GEORGE ENGELN	0	0	0	0	0	0	2,500	0	0	0	0	0	2,500
SONDRA EPPERLY	0	0	0	0	0	0	375	0	0	0	0	0	375
BILL EPPERLY	5,400	0	0	0	0	5,400	960	4,000	0	0	0	0	4,960
ERIC GLEACHER FOUNDATION	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
ERNST AND YOUNG FOUNDATION	0	0	0	0	0	0	10,250	0	0	0	0	0	10,250
JOEL ESTERS	0	0	0	0	0	0	0	0	0	0	0	0	0

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
KELLIE ESTERS	25,100	0	0	0	0	25,100	0	2,500	0	0	0	0	2,500
FAMILY MUSEUM	0	0	0	0	0	0	0	0	0	0	0	7,312	7,312
SUE MARTINELLI-FERNANDEZ	5,941	0	0	0	0	5,941	1,127	5,409	0	0	0	0	6,536
FIRST CHRISTIAN CHURCH	0	0	0	0	0	0	7,000	0	0	0	0	0	7,000
FMC CORPORATION- AGRICULTURAL PRODUCTS GROUP	0	0	0	0	0	0	8,000	0	0	0	0	0	8,000
ARDITH FORD	2,513	0	0	0	0	2,513	100	2,513	0	0	355	0	2,968
DAVE FORD	2,513	0	0	0	0	2,513	100	2,513	0	0	355	0	2,968
CORY FOSDYCK	6,250	0	0	0	0	6,250	0	1,250	0	0	0	0	1,250
HILLARY FOSDYCK	6,250	0	0	0	0	6,250	0	1,250	0	0	0	0	1,250
BARBARA FRYE	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0
GREGORY FRYE	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0
GENESIS SYSTEMS GROUP	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000
CHUCK GILBERT	4,900	0	0	0	0	4,900	10,186	4,900	0	0	0	0	15,086
KAREN IHRIG-GILBERT	0	0	0	0	0	0	225	0	0	0	0	0	225
CHUCK GOSNELL	2,500	0	0	0	0	2,500	50	833	0	0	0	0	883
MARGE GOSNELL	2,500	0	0	0	0	2,500	0	833	0	0	0	0	833
GRANT W & VIRGINIA M BRISSMAN FOUNDATION	25,000	0	0	0	0	25,000	20,000	25,000	0	0	0	0	45,000
GREAT RIVER MEDICALCENTER	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
GEORGE GRICE	6,000	0	0	0	0	6,000	1,000	18,000	0	0	0	0	19,000
GROWMARK FOUNDATION	0	0	0	0	0	0	8,000	0	0	0	0	0	8,000
H-BR AUTOMOTIVE CONSULTING	2,000	0	0	0	0	2,000	4,000	2,000	0	0	0	0	6,000
AL HARRIS	5,000	0	0	0	0	5,000	68	2,000	0	0	0	0	2,068
MARY MARGARET HARRIS	5,000	0	0	0	0	5,000	25	2,000	0	0	0	0	2,025
ANN MARIE HAYES-HAWKINSON	0	0	0	0	0	0	0	0	0	0	0	0	0
KEN HAWKINSON	18,417	0	0	0	0	18,417	200	6,250	0	0	0	0	6,450
HUNT AND DIANE HARRIS FOUNDATION	25,000	0	0	0	0	25,000	0	25,000	0	0	0	0	25,000
I WIRELESS CENTER	0	0	0	0	0	0	26	0	0	0	0	5,699	5,725
IAA FOUNDATION	0	0	0	0	0	0	16,000	0	0	0	0	0	16,000
IHEARTMEDIA DAVENPORT	0	0	0	0	0	0	0	0	0	0	0	6,126	6,126
ILLINOIS CORN MARKETING BOARD	50,000	0	0	0	0	50,000	0	50,000	0	0	0	0	50,000
ILLINOIS HUMANE	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000

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JAMES S WEHRLY TRUST	0	0	0	0	0	0	75,000	0	0	0	0	0	75,000
JOHN DEERE CLASSIC	0	0	0	0	0	0	7,632	50,000	0	0	100	120	57,852
JOHN DEERE FOUNDATION	0	0	0	0	0	0	22,750	0	0	0	0	0	22,750
JOHN F BLAUVELT GEOGRAPHY FUND	0	0	0	0	0	0	36,500	0	0	0	0	0	36,500
DALE JOHNSON	0	0	0	0	0	0	2,500	0	0	0	0	0	2,500
DEAN JOHNSON	2,000	200,000	0	0	0	202,000	0	2,000	0	0	0	0	2,000
LYNN JOHNSON	0	0	0	0	0	0	2,500	0	0	0	0	0	2,500
MARILYN JOHNSON	4,239	0	0	0	0	4,239	783	4,239	0	0	0	0	5,021
VERLIN JOHNSON	0	12,000	0	0	0	12,000	0	0	12,000	0	0	0	12,000
GARRY JOHNSON	4,239	0	0	0	0	4,239	977	4,239	0	0	0	0	5,216
WARREN JONES	0	0	0	0	0	0	16,916	0	0	0	0	0	16,916
EVAN KEDZIERSKI	6,000	0	0	0	0	6,000	500	1,200	0	0	0	0	1,700
BILL KEEVER	2,025	0	0	0	0	2,025	0	2,025	0	0	0	3,500	5,525
JUDY KERR	5,050	0	0	0	0	5,050	0	5,050	0	0	0	0	5,050
MEL KERR	5,050	0	0	0	0	5,050	0	5,050	0	0	0	0	5,050
CAROLYN TYIRIN-KIRK	0	0	0	0	0	0	0	0	0	0	0	0	0
GORDON KIRK	0	0	0	0	0	0	0	10,000	0	0	0	0	10,000
BURDETTE KNAPPENBERGER	0	0	0	0	0	0	58,832	0	0	0	0	0	58,832
GREG KNIGHT	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
SUSAN KNIGHT	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
BOB KRAUSE	0	0	0	0	0	0	50,000	0	0	0	0	0	50,000
DELANO KRUZAN	0	100,000	0	0	0	100,000	120	0	0	0	0	0	120
KUNES COUNTRY AUTO GROUP	0	0	0	20,983	2,335	23,318	250	0	0	0	20,983	2,335	23,568
LAVERDIERE CONSTRUCTION INC	0	0	0	28,065	0	28,065	0	0	0	0	28,065	0	28,065
MIKE LITWIN	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
RIC LONGORIA	0	0	0	0	0	0	5,670	0	0	0	0	0	5,670
LOWELL N JOHNSON CHARITABLE FOUNDATION	0	0	0	0	0	0	21,000	0	0	0	0	0	21,000
MACOMB AREA CONVENTION & VISITORS BUREAU	0	0	0	0	0	0	5,225	0	0	0	140	0	5,365
MAGNOLIA'S RESTAURANT AND CATERING	3,351	0	0	0	0	3,351	0	3,351	0	0	50	3,175	6,576
MARTY MASKARINEC	50	0	0	0	0	50	1,550	50	0	0	0	0	1,600
JAN MATHERS	0	0	0	0	0	0	2,850	0	0	0	0	0	2,850

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RICHARD MATHERS	100	0	0	0	0	100	2,950	100	0	0	0	0	3,050
JODY MC CAMEY	1,100	50,000	0	0	0	51,100	0	1,100	0	0	0	0	1,100
TOBY MC CAMEY	0	0	0	0	0	0	10,000	0	0	0	0	0	10,000
MC CARTHY-BUSH FOUNDATION	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000
MC DONOUGH COUNTY LAW LIBRARY FUND	0	0	0	0	0	0	5,100	0	0	0	0	0	5,100
LA VERN MC ENTIRE	0	0	0	0	0	0	33,000	0	0	0	0	0	33,000
CHAROLETTE MEGGINSON	425	0	0	0	0	425	10,010	425	0	0	0	0	10,435
CHRIS ADAMSKI-MIETUS	0	0	0	0	0	0	175	2,500	0	0	0	0	2,675
KENNETH MIETUS	1,200	0	0	0	0	1,200	175	3,700	0	0	0	0	3,875
LAURA MILLER	0	0	0	0	0	0	0	0	0	0	0	0	0
MATTHEW MILLER	0	0	0	0	0	0	10,342	0	0	0	0	0	10,342
JIM MINER	5,225	0	0	0	0	5,225	107	2,725	0	0	0	0	2,832
SUZI MINER	5,150	0	0	0	0	5,150	82	2,650	0	0	0	0	2,732
MODERN WOODMEN OF AMERICA	0	0	0	0	0	0	3,000	25,000	0	0	0	0	28,000
MOLINE DISPATCH PUBLISHING COMPANY L C	0	0	0	0	0	0	0	0	0	0	100	5,920	6,020
MOLINE FOUNDATION	0	0	0	0	0	0	0	50,000	0	0	0	0	50,000
MONSANTO COMPANY	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
MTC COMMUNICATIONS INC	0	0	0	0	0	0	5,322	0	0	0	0	0	5,322
CRAIG NEADER	6,000	0	0	0	0	6,000	0	6,000	0	0	0	0	6,000
DEB NELSON	3,000	0	0	0	0	3,000	12,500	3,000	0	0	0	0	15,500
STEVE NELSON	3,000	0	0	0	0	3,000	12,500	3,000	0	0	0	0	15,500
KATHY NEUMANN	3,686	0	0	0	0	3,686	1,550	3,686	0	0	0	0	5,236
NEXT PHASE ENTERPRISES LLC	7,000	0	0	0	0	7,000	0	7,000	0	0	0	0	7,000
PAUL NOLLEN	5,500	0	0	0	0	5,500	1,675	5,500	0	0	0	0	7,175
SHEILA NOLLEN	5,500	0	0	0	0	5,500	1,975	5,500	0	0	0	0	7,475
NORTHWOODS MALL	0	0	0	0	0	0	0	0	0	0	0	8,371	8,371
DAN O'NEILL	3,825	0	0	0	0	3,825	1,432	3,825	0	0	20	0	5,277
LINDA O'NEILL	3,825	0	0	0	0	3,825	25	3,825	0	0	20	0	3,870
MARIANNE OLIVA	0	0	0	0	0	0	0	0	0	0	0	0	0
SAM OLIVA	0	0	0	0	0	0	20,000	0	0	0	0	0	20,000
CHRIS ONTIVEROS	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
PAMELA ONTIVEROS	0	0	0	0	0	0	0	5,000	0	0	0	0	5,000
KATHY ORBAN	5,450	0	0	0	0	5,450	60	2,117	0	0	0	0	2,177
BEE OTTO	0	25,000	0	0	0	25,000	0	0	0	0	0	0	0
NICHOLAS PANO	2,500	0	0	0	0	2,500	5,315	2,500	0	0	0	0	7,815
MARK PARMENTER	10,000	0	0	0	0	10,000	0	10,000	0	0	0	0	10,000
SANDRA PARMENTER	0	0	0	0	0	0	0	0	0	0	0	0	0
JOHN PASSANANTI	5,500	0	0	0	0	5,500	174	5,500	0	0	1,000	0	6,674
MARY PASSANANTI	0	0	0	0	0	0	0	0	0	0	0	0	0
TED PAWLIAS	0	0	0	6,986	0	6,986	0	0	0	0	6,986	0	6,986
PIKE OIL & GAS INC	5,000	0	0	0	0	5,000	0	5,000	0	0	0	0	5,000
QUAD CITY BANK & TRUST CO	0	0	0	0	0	0	1,200	3,000	0	0	0	1,000	5,200
QUAD CITY SYMPHONY ORCHESTRA	0	0	0	0	0	0	400	0	0	0	0	5,960	6,360
RADISH MAGAZINE	0	0	0	0	13,260	13,260	0	0	0	0	0	6,630	6,630
RIA FEDERAL CREDIT UNION	7,500	0	0	0	0	7,500	10,000	4,700	0	0	0	0	14,700
RUTH RICHERT	250	0	0	0	0	250	47,911	250	0	0	0	0	48,161
JOE RIVES	7,450	0	0	0	0	7,450	0	7,450	0	0	0	0	7,450
MATT ROBINS	6,250	0	0	0	0	6,250	0	0	0	0	0	0	0
NICKI ROBINS	6,250	0	0	0	0	6,250	0	0	0	0	0	0	0
SHEILA ROEHLK	0	12,797	0	0	0	12,797	0	0	12,797	0	0	0	12,797
ELIZABETH ROGERS	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
MICHAEL ROGERS	0	0	0	0	0	0	0	0	0	0	0	0	0
ROY J CARVER CHARITABLE TRUST	161,000	0	0	0	0	161,000	0	161,000	0	0	0	0	161,000
MONA SAWYER	0	0	0	0	0	0	27,404	0	0	0	0	0	27,404
SCOTT COUNTY REGIONAL AUTHORITY	0	0	0	0	0	0	9,911	0	0	0	0	0	9,911
ARLENE SEYMOUR	0	0	0	0	0	0	17,415	0	0	0	0	0	17,415
ARLIE SEYMOUR	0	0	0	0	0	0	17,415	0	0	0	0	0	17,415
JIM SHIPP	0	0	0	0	0	0	0	12,500	0	0	0	0	12,500
VERONICA SHIPP	0	0	0	0	0	0	0	12,500	0	0	0	0	12,500
SKIP A LONG	0	0	0	0	0	0	2,500	0	0	0	0	3,000	5,500
SODEXO INC & AFFILIATES	0	0	0	0	0	0	0	50,000	0	0	0	0	50,000
AMY SPELMAN	1,830	0	0	0	0	1,830	413	4,040	0	0	0	0	4,452
BRIAN SPOTTS	100	0	0	0	0	100	0	100	0	0	17,270	0	17,370

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
STC INVESTMENTS	0	0	0	22,530	0	22,530	0	0	0	0	14,730	0	14,730
DENISE STEWART	0	0	0	0	0	0	2,500	0	0	0	0	0	2,500
FRANK STOUT	1,750	0	0	0	0	1,750	2,170	1,750	0	0	0	0	3,920
PENNY STOUT	1,750	0	0	0	0	1,750	1,750	1,750	0	0	0	0	3,500
STRONGHURST LLC	0	0	0	0	0	0	0	25,000	0	0	0	0	25,000
CARMELITA TEETER	0	0	0	0	0	0	0	0	0	0	0	0	0
NORM TEETER	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
THE GOLDSTEIN FAMILY FOUNDATION	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
THETA CHI ALUMNI ASSOC ZETA PSI CHAPTER	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
EVELYN THOMAS	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
JACK THOMAS	250	0	0	0	0	250	1,500	5,290	0	0	0	0	6,790
LINDA THOMAS	0	0	0	0	0	0	0	0	0	0	0	0	0
DAVE THOMPSON	3,600	0	0	0	0	3,600	25,088	3,600	0	0	654	150	29,491
JACKIE THOMPSON	3,600	0	0	0	0	3,600	25,303	3,600	0	0	654	150	29,706
BETH TRIPLETT	100	0	0	0	0	100	100	5,100	0	0	0	0	5,200
UNITYPOINT HEALTH TRINITY	0	0	0	0	0	0	5,000	0	0	0	0	100	5,100
ANN URCH	2,250	0	0	0	0	2,250	75	2,250	0	0	0	0	2,325
SCOTT URCH	2,250	0	0	0	0	2,250	968	2,250	0	0	0	0	3,218
KATHY VERONI	5,000	0	0	0	0	5,000	110	1,667	0	0	0	0	1,777
JIM WEHRLY	0	0	0	0	0	0	25,000	0	0	0	0	0	25,000
ANITA WERLING	2,200	0	0	0	0	2,200	1,100	2,200	0	0	0	0	3,300
DENNIS WERLING	2,200	0	0	0	0	2,200	1,300	2,200	0	0	0	0	3,500
WESLEY UNITED METHODIST CHURCH	0	0	0	0	0	0	13,795	0	0	0	0	0	13,795
WEST CENTRAL FS INC	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
CURT WESTEN	1,500	0	0	0	0	1,500	12,579	1,500	0	0	0	0	14,079
SUE WESTEN	500	0	0	0	0	500	12,579	500	0	0	0	0	13,079
WESTEN INVESTMENTS INC	0	0	0	15,840	0	15,840	0	0	0	0	7,920	0	7,920
WESTERN STONEWARE	0	0	0	0	0	0	0	0	0	0	17,743	0	17,743
WAYNE WHIPPS	6,000	0	0	0	0	6,000	162	6,000	0	0	0	0	6,162
WILBUR-ELLIS COMPANY	0	0	0	0	0	0	6,200	0	0	0	0	0	6,200
MARGARET WONG	120,000	0	0	0	0	120,000	200	34,000	0	0	0	0	34,200
GREGG WOODRUFF	3,000	0	0	0	0	3,000	2,700	3,000	0	0	32	0	5,732

Name	Pledges Made	Planned Gifts Made	Planned Gifts PV	GIK Pledge	Non-Deductible GIK Pledge	Total Pledges	Cash Gifts	Pledges Paid	Planned Gifts Paid	Planned Gifts Cash	GIK Pledge Paid	Non-Deductible GIK Paid	Total Receipts
WOODRUM AUTOMOTIVE INC	250	0	0	0	5,802	6,052	0	250	0	0	0	5,802	6,052
JIM YOUNG	0	0	0	0	0	0	5,000	0	0	0	0	0	5,000
1ST FARM CREDIT SERVICES	0	0	0	0	0	0	8,500	1,500	0	0	0	0	10,000
3M FOUNDATION	5,000	0	0	0	0	5,000	0	5,000	0	0	0	0	5,000

PURPOSE: List of significant donors with gifts or pledges \$5,000 or greater for current fiscal year.

AUDIENCE: External (Board) and internal use for administrators and development officers.

NOTES: Some individuals are shown with less than \$5,000 giving because combined with their spouse, they have at least \$5,000.

"Pledges Made" includes any new pledges for current or future fiscal years.

"Pledges Paid" includes the amount that is expected to be paid this fiscal year for any pledge through installments or payroll deductions.

Totals includes memberships for next fiscal year (e.g. Leatherneck Club)

Printed on 2016-05-18



Annual Fund Report

July 1, 2015 - June 30, 2016

COLLEGE/UNIT	# OF PLEDGES	TOTAL PLEDGES	AVERAGE PLEDGE	PLEDGES PAID	CASH	GIK	GIK PAID	*TOTAL GIFTS
CAS	441	39,417	89	29,076	131,739	312	0	161,127
CBT	585	61,656	105	45,646	184,788	29,010	0	259,445
COEHS	747	42,529	57	25,439	92,392	1,499	0	119,331
COFAC	422	44,093	104	43,938	65,842	11,451	0	121,231
ALUMNI	106	7,646	72	2,651	11,356	1,374	0	15,381
INTL STUDIES	2	220	110	110	2,682	0	0	2,792
LIBRARY	222	37,106	167	37,202	7,280	15,111	0	59,593
HONORS	8	1,980	248	1,710	3,645	797	0	6,152
QC	27	11,237	416	10,157	3,300	0	0	13,457
ATHLETICS	1,098	341,005	311	244,192	147,389	45,053	80,191	516,825
STUDENT SERVICES	91	31,576	347	28,425	14,204	513	0	43,142
BGS	148	6,743	46	3,372	6,530	0	0	9,902
TRI-STATES RADIO	522	72,119	138	60,159	171,070	500	0	231,729
WQPT	326	51,869	159	25,754	362,714	18,659	0	407,126
PFA	322	13,085	41	4,325	1,665	0	0	5,990
SCHOLARSHIPS	402	35,133	87	29,539	91,292	2,096	0	122,927
OTHER	68	7,144	105	5,396	68,890	1,796	0	76,082
Total	5,537	804,556	145	597,089	1,366,779	128,172	80,191	2,172,231

****Total Annual Fund: \$2,299,507**

Above Pledge totals include:

Leatherneck Club	\$ 328,504
Library Atrium Society	\$ 35,325
Performing Arts Society	\$ 33,145

Above Receipt totals include:

Leatherneck Club	\$ 321,232
Library Atrium Society	\$ 35,960
Performing Arts Society	\$ 39,110

PURPOSE: Record of fiscal year Annual Fund totals by Unit; includes Phonathon and President's Scholarship totals.

AUDIENCE: Internal use for administrators, development officers, and board members.

NOTES:

*Total Gifts equals Income (Cash, Pledges Paid, Gifts in Kind, and GIK Pledges Paid).

**Total Annual Fund equals sum of Pledges, GIKs, and Cash.

Radio is separate from COFAC; Parent and Family Association is separate from Student Services.

Gifts-in-kind do not include service or noncharitable GIKs.

Printed on 2016-05-18



Phonathon Report

July 1, 2015 - June 30, 2016

COLLEGE/UNIT	# OF PLEDGES	TOTAL PLEDGES	AVERAGE PLEDGE	PLEDGES PAID	CASH	GIK	GIK PAID	*TOTAL GIFTS
CAS	398	22,408	56	13,775	850	0	0	14,625
CBT	537	31,494	59	17,511	125	0	0	17,636
COEHS	719	32,896	46	16,682	0	0	0	16,682
COFAC	173	10,185	59	6,315	50	0	0	6,365
ALUMNI	97	7,050	73	2,130	75	0	0	2,205
INTL STUDIES	1	100	100	0	0	0	0	0
LIBRARY	31	1,405	45	970	0	0	0	970
HONORS	1	100	100	0	0	0	0	0
QC	2	100	50	50	0	0	0	50
ATHLETICS	75	5,755	77	3,330	0	0	0	3,330
STUDENT SERVICES	54	2,815	52	785	0	0	0	785
BGS	144	6,005	42	2,705	50	0	0	2,755
TRI-STATES RADIO	1	25	25	25	0	0	0	25
WQPT	1	50	50	50	0	0	0	50
PFA	321	13,055	41	4,295	25	0	0	4,320
SCHOLARSHIPS	344	23,710	69	19,030	370	0	0	19,400
OTHER	44	2,098	48	685	0	0	0	685
Total	2,943	159,249	54	88,338	1,545	0	0	89,883

****Total Phonathon: \$160,794**

Above Pledge totals include:

Leatherneck Club	\$ 5,680
Library Atrium Society	\$ 1,405
Performing Arts Society	\$ 3,245

Above Receipt totals include:

Leatherneck Club	\$ 3,255
Library Atrium Society	\$ 970
Performing Arts Society	\$ 3,220

PURPOSE: Record of fiscal year Phonathon totals by Unit.

AUDIENCE: Internal use for administrators, development officers, and board members.

NOTES:

*Total Gifts equals Income (Cash, Pledges Paid, Gifts in Kind, and GIK Pledges Paid).

**Total Phonathon equals sum of Pledges, GIKs, and Cash.

Radio is separate from COFAC; Parent and Family Association is separate from Student Services.

Gifts-in-kind do not include service or noncharitable GIKs.

See Annual Fund report for all phonathon and annual fund totals.

Printed on 2016-05-18

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report No. 16.6/2 Repair and Maintenance of Official Residence

The Western Illinois University Board of Trustees Finance Committee established guidelines at the June 4, 2004 meeting regarding ongoing maintenance of the official residence. The Vice President of Administrative Services is responsible for performing maintenance of at least \$20,000 annually and presenting a report to the Board at the June meeting.

FY2017 (planned):

- Landscaping improvements
- Driveway

FY2016:

- \$3,000 in labor and materials for routine maintenance

FY2015:

- Updated lighting
- Kitchen, stairway, and upstairs flooring
- Cooktop
- Coated driveway
- Painting
- Repaired garage floor

FY2014:

- Upstairs windows

FY2013:

- Railing and back patio repair
- Upstairs windows

FY2012:

- Vinyl flooring, main floor public areas
- General painting, ceiling fans, and bathroom fixtures
- Security system
- Windows and sliding glass doors

FY2011 Fiber installation and parking lot resurfacing

FY2010 HVAC

FY2009 Painting and exterior walk-in doors

FY2008 Windows and kitchen doors

FY2007 Windows and carpet

FY2006 Landscaping and main entrance

FY2005 Windows and doors

FY2004 No work done

FY2003 Roof

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report No. 16.6/3 Purchases of \$100,000 - \$249,999

Vendor	Type of Purchase	Amount	Number of Bids
Hayden-McNeil Publishing; Plymouth, MI	FY17 continuous order for textbooks for resale by the University Bookstore	\$100,000.00	IPHEC
D2L Ltd; Palatine, IL	FY17 learning management system (1 st of five possible one-year renewals)	\$149,590.61	3 proposals
Trinity Consultants; West Burlington, IA	FY17 continuous order for environmental consulting services (4 th of five possible one-year renewals)	\$150,000.00	5 QBS submittals
Holtzbrinck Publishers LLC; Gordonsville, VA	FY17 continuous order for textbooks for resale by the University Bookstore	\$170,000.00	IPHEC
H D Smith Wholesale Drug Co; Springfield, IL	FY17 continuous order for various medications and medical supplies for Beu Health Center	\$240,000.00	2

Purchases of \$250,000 - \$499,999 Receiving Presidential Approval

Vendor	Type of Purchase	Amount	Number of Bids
City of Macomb; Macomb, IL	FY17 continuous order for fire protection services for Macomb	\$250,000.00	Intergovernmental Agreement

	campus. (2 nd year of 3-year agreement)		
McGraw Hill Global Education; East Windsor, NJ	FY17 continuous order for textbooks for resale by the University Bookstore	\$350,000.00	Sole Source
Arthur J Gallagher Risk Management Services Inc; Quincy, MA	Athletic insurance coverage for the period of August 1, 2016 through July 31, 2017 (2 nd of nine optional one-year renewals)	>\$343,600.00 (FY16 spend)	3 proposals
Adidas America, Inc; Portland, OR	FY17 continuous order for athletic apparel and gear for Athletics Department. Second year of a five-year contract with five optional one-year renewals. Previously approved by BOT on March 27, 2015.	Estimated \$368,000	1
Burlington Trailways; Burlington, IA	FY17 continuous order for motor coach charters for Athletics Department. First year of 1-year renewal option. Previously approved by BOT on June 12, 2015.	Estimated \$401,500.00	1
Roy Keith Electric Company; Farmington, IL	FY17 continuous order for electricians to supplement university personnel. Third year of 3-year renewal option.	\$450,000.00	1
H & S Mechanical Inc; Mapleton, IL	FY17 continuous order for plumbers/pipefitters to supplement university personnel. Third year of 3-year renewal option.	\$450,000.00	1
Entec Services Inc; Peoria, IL	FY17 continuous order for campus building automation system services. Sole source 1/1/14-6/30/18. Previously approved by BOT on December 13, 2013.	\$450,000.00	Sole Source

Purchases \$500,000 and above Exempt from BOT Approval

Vendor	Type of Purchase	Amount	Number of Bids
Cengage Learning; Florence, KY	FY17 continuous order for textbooks for resale by the University Bookstore (resale – exempt from BOT approval)	\$500,000.00	Sole Source
Follett Higher Education Group; River Grove, IL	FY17 continuous order for textbooks for resale by the University Bookstore (resale – exempt from BOT approval)	\$505,000.00	1
Pearson Education Inc; Old Tappan, NJ	FY17 continuous order for textbooks for resale by the University Bookstore (resale – exempt from BOT approval)	\$550,000.00	Sole Source
Kone Inc; Peoria, IL	FY17 elevator service for Macomb campus. Fourth year of a five-year contract with the option to renew for one additional 5-year term. Previously approved by BOT on June 7, 2013.	\$600,000.00	1
Academic Health Plans; Colleyville, TX	FY17 student health insurance program. Fourth year of a five-year contract. Previously approved by BOT on March 29, 2013.	\$5,000,000.00	2 proposals
Sodexo Operations LLC; Williamsville, NY	FY17 food service contract. Fifth year of a five-year contract with the option to renew for three additional one-year periods. Previously approved by BOT on March 30, 2012.	\$13,125,000.00	1 proposal

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/1 Purchases of \$500,000 or more

Resolution:

WHEREAS Western Illinois University has a need for digital advertising buys for Western Illinois University Marketing; and,

WHEREAS the above mentioned project has been administered in accordance with the Illinois Procurement Code and all other pertinent statutes and rules:

THEREFORE be it resolved that the Board of Trustees approves the contracting for

Digital Marketing

ITEM DESCRIPTION: University Marketing will have paid out over \$450,000 to Media Link by the end of June 2016. University Marketing does not yet know if they will receive the requested funds for future digital marketing in FY17, but they need BOT approval to proceed if they do.

For FY17 – the ending date of the contract is 2/28/17.

COST: N269022 - \$450,000 will be spent through 6/30/16. Additional funds for FY17 are contingent on funding availability. Contract allows a maximum of \$220,000 additional by 2/28/17.

RECOMMENDED VENDOR:

Media Link, Inc.; Rock Island, IL

FUND SOURCE: Appropriated

SUMMARY OF PROPOSALS:

Media Link, Inc.; Rock Island, IL	*98.3/150 points
Shaker Advertising; Oak Park, IL	98.3/150 points
Colman, Brohan & Davis; Chicago, IL	95.0/150 points
Central States Media; Peoria, IL	87.5/150 points
Merge; Greenville, SC	84.3/150 points
Jayne Agency; Chicago, IL	81.7/150 points

Chicago Tribune Media; Northlake, IL	81.7/150 points
Comcast Spotlight; Chicago, IL	72.3/150 points
Canteen Communications; Chicago, IL	71.3/150 points
Fanning Communications; Crestwood, IL	66.0/150 points

*Media Link is a registered certified small/woman-owned business and due to a tie was given preference in the best interest of the State and the University to help meet the 10% goal established by the Small Business Contract Act (Public Act 97-307).

Resolution:

WHEREAS Western Illinois University has a need for refuse disposal for the Macomb campus; and,

WHEREAS the above mentioned project has been administered in accordance with the Illinois Procurement Code and all other pertinent statutes and rules:

THEREFORE be it resolved that the Board of Trustees approves the contracting for

Refuse Disposal

ITEM DESCRIPTION: Refuse disposal for the Macomb, IL campus for the period of July 1, 2016 through June 30, 2021 with the option to renew for one additional 5-year period.

COST: estimated \$1,568,532
(FY17-FY21 including 5% annual increase)
estimated \$2,000,000
(FY22-FY26 optional renewal)

RECOMMENDED VENDOR:
Waste Management of Illinois Inc.; Macomb, IL

FUND SOURCE: Local

SUMMARY OF BIDS:
Waste Management was the sole bidder

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/2 New or Changed Capital Reserves for Non-Indentured Entities

Resolution:

WHEREAS request for the approval of new or changed capital reserves for non-indentured entities shall be submitted to the Board of Trustees annually; and,

WHEREAS general guidelines established by the Legislative Audit Commission were followed:

THEREFORE be it resolved that the Board of Trustees approves the capital reserves as presented.

PREVIOUSLY APPROVED RESERVES

<u>Entity</u>	<u>Approved Amount</u>	<u>Funded Amount as of 5/18/2016</u>	<u>Change Requested</u>	<u>Adjusted Total</u>
<u>Movable Equipment</u>				
Public Service	\$425,000	\$72,501	\$0	\$425,000
Student Programs and Services	\$400,000	\$314,188	\$0	\$400,000
Instructional Resources and Services	\$545,000	\$235,525	\$0	\$545,000
University Stores and Service Centers	\$850,000	\$32,431	\$0	\$850,000
University Publications	\$26,625	\$0	\$0	\$26,625
Sponsored Credit	\$4,000	\$0	\$0	\$4,000
Parking Operations	\$40,000	\$0	\$0	\$40,000
Transit	\$32,500	\$0	\$0	\$32,500
<u>Parking Lots</u>				
Parking Operation	\$1,600,000	\$2	\$0	\$1,600,000

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/3 FY2017 Preliminary Spending Plan

WHEREAS Western Illinois University must prepare a preliminary spending plan prior to July 1 for State Appropriated, University Income, Auxiliary and All Other Funds for the Illinois Board of Higher Education, Illinois State Legislature and the Governor; and,

WHEREAS this spending plan requires Board of Trustees approval prior to submission; and,

WHEREAS this spending plan reflects Western's tradition of strong, conservative fiscal management and resource allocation to support goals and priorities stated in *Higher Values in Higher Education* and Western Illinois University's *Mission Statement*:

THEREFORE be it resolved that the Board of Trustees approves the FY2017 spending plan that will be presented in the forthcoming FY2017 spending plan document, and be it further resolved that the President be authorized to make technical adjustments in these budgets if necessary.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/4 Property Easement – McDonough County

Resolution:

WHEREAS the City of Macomb is requesting a Utility Easement as part of the following tract:

Western Illinois University 1st Addition to the City of Macomb, McDonough County, Illinois, located in part of the Southwest and Northwest Quarter of Section 25, Township 6 North, Range 3 West, and part of the Northwest Quarter of Section 36, Township 6 North, Range 3 West, of the Fourth Principal Meridian, City of Macomb, in McDonough County, Illinois; and,

WHEREAS the City of Macomb is requesting a Temporary Construction Easement as part of the

following tract: A part of the Western Illinois University 1st Addition to the City of Macomb, McDonough County, Illinois, located in part of the Southwest and Northwest Quarter of Section 25, Township 6 North, Range 3 West, and part of the Northwest Quarter of Section 36, Township 6 North, Range 3 West, of the Fourth Principal Meridian, City of Macomb, in McDonough County, Illinois; and,

WHEREAS the City of Macomb is requesting the easements for the purpose of running utilities to, placing, constructing, operating, repairing, maintaining, rebuilding, replacing, and removing a sanitary sewer force main and appurtenances, which will be buried, located on **Grantor's** property; and,

THEREFORE be it resolved that the Board of Trustees agrees to grant and convey a Utility Easement and a Temporary Construction Easement to the City of Macomb, Illinois the tracts described and attached hereto.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report No. 16.6/4 Annual Listing of Academic Program Changes

The Illinois Board of Higher Education requires that each public university submit a list of academic program changes annually in June. Following is Western Illinois University's report for the period June 21, 2015, to June 20, 2016.

WESTERN ILLINOIS UNIVERSITY
ANNUAL LISTING OF ACADEMIC PROGRAM CHANGES
June 21, 2015 to June 20, 2016

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
*****REPORTING CATEGORIES AS IDENTIFIED BY IBHE*****					
1	Creation of a new non-credit program or a program of study composed of credit courses that does not result in the formal award of a degree.		N/A		
2	Creation of a new unit that does not have an instructional, research, or public service mission including new units that might be named department, division, or institute.		N/A		
3	Addition of a new organized set of courses within a major to an existing degree program.	09.0101	B.A. in Communication, options in: General Communication Interpersonal Communication and Processes Social Influence		Campus 09/11/15
		09.0701	B.A. in Broadcasting, converted emphases to options: Broadcasting (with emphases): News and Performance Production Sports Broadcasting		Campus 09/16/15
		52.1401	B.B. in Marketing, options in: Enterprise Marketing Marketing Technology		Campus 03/17/16
		11.0103	B.S. in Information Systems, emphases in: Information Systems Cyber Security		Campus 04/12/16
		11.0101	B.S. in Computer Science, Cyber Security emphasis		Campus 04/12/16
		38.0101	B.A. in Philosophy, Religious Studies option		Campus TBD

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
	*****REPORTING CATEGORIES AS IDENTIFIED BY IBHE*****				
3	Addition of a new organized set of courses within a major to an existing degree program (continued).	14.0101	B.S. in Engineering, emphases in: Traditional Engineering Robotics Engineering Civil Engineering Industrial Engineering Electrical Engineering		Campus 05/09/16
4	Addition of an externally funded research or public service activity labeled as a center or institute because of grant requirements. The activity is temporary, not formally organized, and has no continuous mission.		N/A		
5	Addition of a new minor.		Minor in Emerging Design Technologies		Campus 10/08/15
			Minor in Precision Agriculture		Campus 04/12/16
			Minor in Military History		Campus 04/22/16
			Minor in Corrections		Campus 05/13/16
6	Change in a degree title.	13.1202	M.S.Ed. in Curriculum and Instruction	Formerly M.S.Ed. in Elementary Education	Campus 11/16/15
7	Change in the name of an administrative, research, or public service unit.		N/A		
8	Elimination of an existing degree program/center.	50.0901	B.A. in Music	Begun phase-out; to be eliminated no later than 06/01/17	Campus 05/12/16
9	Reorganization, restructuring, consolidation, elimination, and other changes of existing administrative, research, or public service units that does not result in an increase in subunits.		Effective July 1, 2016: Department of Instructional Design and Technology will merge into the Department of Engineering Technology	Title of combined department to remain Engineering Technology	Campus 05/24/16

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
	*****REPORTING CATEGORIES AS IDENTIFIED BY IBHE*****				
10	Creation of certificate program in a field in which there is a previously approved degree program.	13.1307	Post-Baccalaureate Certificate in Health Services Administration	Increased to 18 s.h. Not previously on IBHE inventory Field: M.S. in Health Sciences	Campus 02/09/16
		13.0501	Post-Baccalaureate Certificate in Instructional Design and Technology— Instructional Media Development	Increased to 18 s.h. Not previously on IBHE inventory Field: M.S. in Instructional Design and Technology	Campus 02/09/16
		13.0501	Post-Baccalaureate Certificate in Instructional Design and Technology— Online and Distance Learning	Increased to 18 s.h. Not previously on IBHE inventory Field: M.S. in Instructional Design and Technology	Campus 02/09/16
		13.0501	Post-Baccalaureate Certificate in Instructional Design and Technology— Technology Integration in Education	Increased to 18 s.h. Not previously on IBHE inventory Field: M.S. in Instructional Design and Technology	Campus 02/09/16
		13.0501	Post-Baccalaureate Certificate in Instructional Design and Technology— Workplace Learning and Performance	Increased to 18 s.h. Not previously on IBHE inventory Field: M.S. in Instructional Design and Technology	Campus 02/09/16
		50.0901	Post-Baccalaureate Certificate in Music Performance	Increased to 18 s.h. Not previously on IBHE inventory Field: M.M. (Master of Music)	Campus 02/09/16
		52.0201	Post-Baccalaureate Certificate in Supply Chain Management	Increased to 18 s.h. Not previously on IBHE inventory Field: M.B.A. (Master of Business Administration)	Campus 02/09/16
		31.0301 Proposed	Post-Baccalaureate Certificate in Event Planning and Management	Request to increase to 18 s.h. submitted to IBHE 05/24/16 Not previously on IBHE inventory Field: M.S. in Recreation, Park and Tourism Administration (31.0301)	Campus 05/05/16 IBHE Pending

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
	*****REPORTING CATEGORIES AS IDENTIFIED BY IBHE*****				
11	Creation of a joint degree program from two previously approved programs.	09.0401 09.0701	Integrated 5-Year Baccalaureate / Master's Degree Program: B.A. in Journalism / M.A. in Communication	Created from previously approved Bachelor of Arts in Journalism and Master of Arts in Communication	Campus 02/19/16
		24.0199 13.0501	Integrated 5-Year Baccalaureate / Master's Degree Program: B.A.in General Studies / M.S. in Instructional Design and Technology	Created from previously approved Bachelor of Arts in General Studies and Master of Science in Instructional Design and Technology	Campus 02/09/16
		N/A 30.1401	Integrated 5-Year Baccalaureate / Master's Degree Program: B.A. in History (Wartburg College) / M.A. in Museum Studies	Created from previously approved Master of Arts in Museum Studies (B.A. in History from Wartburg College, Waverly, Iowa)	Campus 02/19/16
		10.0305 13.0501	Integrated 5-Year Baccalaureate / Master's Degree Program: B.S. in Graphic Communication / M.S. in Instructional Design and Technology	Created from previously approved Bachelor of Science in Graphic Communication and Master of Science in Instructional Design and Technology	Campus 04/12/16
		09.0701	Integrated 5-Year Baccalaureate / Master's Degree Program: B.A. in Communication / M.A. in Communication	Created from previously approved Bachelor of Arts in Communication and Master of Arts in Communication	Campus 05/09/16
12	Creation of a new, formally organized research or public service unit that has a temporary mission of up to five years.		N/A		
13	Creation of a certificate program in a field or at a level in which there is not a previously approved degree program at that level or higher level.		N/A		
14	Creation of a new program that results from the reorganization or restructuring of the curricular elements of an existing program that have over time evolved into separate and distinct programs.	14.1901 Proposed	B.S. in Mechanical Engineering	Request for Reasonable and Moderate Extension (RME) submitted to IBHE 05/25/16	Campus 05/09/16 IBHE Pending
15	Reclassification of a program resulting from incremental changes or consolidation of two or more degree programs into a single program.		N/A		
16	Changes in degree designation at the same level.		N/A		

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
*****REPORTING CATEGORIES AS IDENTIFIED BY IBHE*****					
17	Creation of a degree program in a new field or at a new level.	44.0201	M.A. in Community and Economic Development		IBHE 08/11/15
		27.0501	M.S. in Applied Statistics and Decision Analytics		IBHE 08/11/15
		TBD	B.S.Ed. in Middle Level Education		Campus 05/05/15 ISBE/IBHE Pending
18	Creation of new units of instruction, research, or public service that involve a change or expansion in mission.		N/A		
19	Creation of a new campus.		N/A		
*****ADDITIONAL CATEGORIES IDENTIFIED BY UNIVERSITY*****					
20	Elimination of existing minor		Minor in Survey Research Methods		Campus 07/16/15
21	Elimination of option at Quad Cities campus	13.1202	B.S.Ed. in Elementary Education, Early Childhood option	Eliminated at Quad Cities campus <u>only</u>	Campus 10/14/15
22	Elimination of degree program at Quad Cities campus	24.0101	M.L.A.S. (Master of Liberal Arts and Sciences)	Eliminated at Quad Cities campus <u>only</u>	Campus 03/14/16
23	Phase-out of option or emphasis	50.0501	B.A. in Theatre, Directing option	Scheduled for elimination in 2018	Campus 02/09/16
24	Elimination of post-baccalaureate certificate		Post-Baccalaureate Certificate in Business Administration	Begun phase-out; to be eliminated no later than 12/31/18	Campus 05/24/16
			Post-Baccalaureate Certificate in English—Literary Studies	Begun phase-out; to be eliminated no later than 12/31/16	Campus 05/24/16
			Post-Baccalaureate Certificate in English—Professional Writing	Begun phase-out; to be eliminated no later than 12/31/16	Campus 05/24/16
			Post-Baccalaureate Certificate in English—Teaching Writing	Begun phase-out; to be eliminated no later than 12/31/16	Campus 05/24/16

	PROGRAM CHANGE	CIP CODE	PROGRAM TITLE	REMARKS	DATE APPROVED
*****ADDITIONAL CATEGORIES IDENTIFIED BY UNIVERSITY*****					
24	Elimination of post-baccalaureate certificate (continued)		Post-Baccalaureate Certificate in Instructional Design and Technology— Graphics Applications	Begun phase-out; to be eliminated no later than 12/31/18	Campus 05/24/16
			Post-Baccalaureate Certificate in Instructional Design and Technology— Multimedia	Begun phase-out; to be eliminated no later than 12/31/18	Campus 05/24/16
25	Offering existing minor at Quad Cities campus		Minor in Business		Campus 07/21/15
26	Offering existing course off-campus in Region #2		RPTA 199 (Fieldwork in Leisure Services) to continue to be offered at Rockford Park District		IBHE 05/11/16
27	Offering existing program off-campus in Region #5	13.0411	Ed.S. in Educational Leadership		IBHE 2005
28	Retitling of existing minor		Minor in Aging Studies	Formerly Minor in Gerontology	Campus 09/11/15
			Minor in Weather and Climate	Formerly Minor in Meteorology	Campus 12/17/15
29	Retitling of option or emphasis	50.0501	B.A. in Theatre, Performance option	Formerly Acting option	Campus 01/14/16
		11.0901	B.S. in Network Technologies, Network Technologies emphasis	Formerly Traditional emphasis	Campus 03/07/16

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report No. 16.6/5 Report on Academic Curricular and Administrative Changes

The following agenda report on academic curricular and administrative changes is provided in accordance with Section III, Subsection D.2., of the *Board of Trustees Regulations*. The report includes changes for the period June 21, 2015, to June 20, 2016.

Academic Program Elimination Review (APER) committee report/recommendations

The Academic Program Elimination Review (APER) Committee finalized its report and recommendations in May. This report will be discussed later in this meeting of the Board of Trustees (see Resolution No. 16.6/5).

Conversion of existing post-baccalaureate certificate programs to 18 semester hours

To comply with the definitions set forth by the Illinois Board of Higher Education (IBHE), Western Illinois University elected to increase some of the existing post-baccalaureate certificates to 18 semester hours and eliminate the others after an appropriate phase-out period. The following post-baccalaureate certificate programs will be increased to 18 semester hours, effective Fall 2016:

- Event Planning and Management (submitted May 5, 2016 for IBHE approval as a reasonable and moderate extension of an existing program; IBHE approval pending)
- Health Services Administration
- Instructional Design and Technology—Instructional Media Development (combination of existing Graphics Applications and Multimedia certificates)
- Instructional Design and Technology—Online and Distance Learning
- Instructional Design and Technology—Technology Integration in Education
- Instructional Design and Technology—Workplace Learning and Performance
- Music Performance
- Supply Chain Management

The following post-baccalaureate certificate programs have entered phase-out and will be eliminated:

- Business Administration
- English—Literary Studies
- English—Professional Writing
- English—Teaching Writing
- Instructional Design and Technology—Graphics Applications
- Instructional Design and Technology—Multimedia

Elimination of existing degree program

The Bachelor of Arts in Music degree program has entered phase-out, with a target elimination date of Summer 2017. There is currently one student enrolled in this major, and this student is expected to graduate Spring 2017. The School of Music will continue to offer the Bachelor of Music degree program.

Creation of a new program resulting from the reorganization or restructuring of the curricular elements of an existing program that have over time evolved into separate and distinct programs

The Bachelor of Science in Engineering degree program has evolved into a general engineering degree program (to remain the B.S. in Engineering) and a mechanical engineering degree program (to become the B.S. in Mechanical Engineering). The curricular requests reflecting this change were approved on campus on May 9, 2016, and a request for a reasonable and moderate extension of an existing program was submitted to IBHE on May 25, 2016. IBHE response is pending.

Creation of a degree program in a new field or at a new level

The Master of Arts in Community and Economic Development degree program was approved IBHE on August 11, 2015. This program will be implemented in Fall 2016. As of Spring 2016, this program has accepted five applicants with a sixth pending.

The Master of Science in Applied Statistics and Decision Analytics degree program was approved by IBHE on August 11, 2015. This program had an enrollment of fifteen students in Spring 2016, the end of the program's initial offering year.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution 16.6/5 Academic Program Review Recommendations

Resolution:

WHEREAS an Academic Program Elimination Review (APER) Committee was established in January 2016 to review eight low-enrolled programs, per Article 26 of the WIU/UPI 2010-2015 Agreement (extended through 2017); and,

WHEREAS the recommendations received from said APER committee are included with the materials presented to the Board of Trustees; and,

WHEREAS per article 24.1 and 40.1 of the WIU UPI Agreement, “an employee may be laid off as a result of demonstrable financial exigency or demonstrable enrollment reduction, or as a result of a modification of curriculum or program instituted through established program review procedures”; and,

WHEREAS the Academic Vice President has reviewed the recommendations received from said APER committee and desires, upon careful examination, that the following four reviewed programs be eliminated:

African American Studies
Philosophy
Religious Studies
Women’s Studies

and the remaining four reviewed programs undergo modifications:

Bilingual/Bicultural Education
Public Health
Geography
Musical Theatre;

THEREFORE, the Western Illinois University Board of Trustees approves the elimination of the following programs, which may result in employees being laid off, per Article 24.1:

African American Studies
Philosophy
Religious Studies
Women’s Studies

WESTERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/6
Tenure Recommendations for 2016-2017

Resolution:

WHEREAS the attached tenure recommendations are in accordance with Article 20 of the 2010-2015 (extended through 2017) Collective Bargaining Agreement with UPI Local 4100 and applicable Board Regulations:

THEREFORE be it resolved that the Board of Trustees of Western Illinois University approves, as presented, the tenure recommendations for 2016-2017.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report 16.6/6 Report on External Audits

This is a report of the activity and the results of the FY 2015 Annual External Financial and Compliance Audits, and other outsourced audits released in FY 2015.

Annual External Financial and Compliance Audits FY2015

- A. Financial Audit – One finding
 - 1. Inadequate Controls Over Journal Entries (repeat)
- B. Compliance Audit – total findings 8 – the one above and the following:
 - 2. Inadequate Performance of Verification Procedure (repeat)
 - 3. Noncompliance with the Campus Security Enhancement Act (repeat)
 - 4. Noncompliance with Required Contracting Procedures (repeat)
 - 5. Inadequate Policies and Procedures on Hiring and Promotion (repeat)
 - 6. Lack of Formal Fraud Risk Assessment Procedures (repeat)
 - 7. Subsidies between Accounting Entities (repeat)
 - 8. Inadequate Monitoring of Applicable Statutes

Full detail of these audits may be found on the State of Illinois Auditor General’s Website:

<http://www.auditor.illinois.gov/Audit-Reports/WESTERN-ILLINOIS-UNIVERSITY.asp>

Other External/Outsourced Audits, Regulatory Reviews, or Agreed Upon Procedures:

- 1. NCAA – Independent Accountants’ Report on Applying Agreed Upon Procedures – June 30, 2015
- 2. WIUM-FM/WIUW-FM Radio Financial Statements & Independent Auditors’ Report - June 30, 2015 & 2014
- 3. Western Illinois University Foundation Financial Statements & Independent Auditor’s Report - June 30, 2015 and 2014
- 4. WQPT-TV Annual Financial Report – June 30, 2015

Number of Material Findings by University:

	Financial Audit		Compliance Audit	
	FY2015	FY2014	FY2015	FY2014
Illinois State University	0	0	3	5
Eastern Illinois University	0	0	4	2
Governors State University	0	0	7	6
Western Illinois University	1	2	8	9
Northern Illinois University	1	1	10	9
Southern Illinois University	0	0	11	7
Northeastern Illinois University	1	3	14	15
Chicago State University	1	3	15	20
U of I	3	3	30	39

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution 16.6/7 Establishment of Western Illinois University Board of Trustees Audit Committee Charter

Resolution:

WHEREAS the Western Illinois University Board of Trustee Bylaws Section IX establishes the Audit Committee as a standing committee of the Board of Trustees and;

WHEREAS Western Illinois University proposes the establishment of an Audit Committee Charter to define the purpose, authority, and scope of responsibility for the Audit Committee; and

WHEREAS The proposed Audit Committee Charter defines the oversight duties of the Western Illinois University Audit Committee concerning external audits; internal auditing, compliance, legal matters and risk management:

THEREFORE be it resolved that the Board of Trustees approves the Western Illinois University Board of Trustees Audit Committee Charter as presented.

Western Illinois University Board of Trustees Audit Committee Charter

Purpose:

The Western Illinois University Board of Trustees Audit Committee is responsible for oversight of the quality and integrity of the University's accounting, auditing, external financial reporting, and legal and regulatory compliance practices.

Organization:

The Audit Committee is a standing committee of the WIU Board of Trustees. Each Committee member must be independent of management and free of any relationship that would impair such independence. When appointing members to the audit committee, consideration should be given to including at least one member with a financial background.

Meetings:

The audit committee shall meet periodically throughout the year as determined by the audit committee chairperson. The Committee may invite representatives of management, auditors, legal counsel, and others to attend meetings and provide pertinent information as necessary. The Committee will receive reports regarding external compliance and financial audits, internal audit activities, enterprise risk management, compliance, conflicts of interest, and ethics. It will also hold private meetings with the Director of Internal Audit if deemed necessary. Meeting agendas will be prepared and provided in advance to members, along with appropriate briefing materials.

Duties and Responsibilities:

The following shall be the primary duties and responsibilities of the Audit Committee:

- A. Meet periodically throughout the year as determined by the audit committee chairperson.
- B. Oversee the University's internal controls through discussions with and reports by management, internal audit and the external auditors.
- C. **External Audits:**
 - Review the planned scope of the external financial statement audits and any subsequent significant changes. The engagement letter will be addressed to, reviewed, and signed by the Audit Committee Chair annually.
 - Review with management and the external auditors the consistency and quality of the University's external financial statements and disclosures; significant accruals; accounting principles employed; significant changes in the selection or application of accounting principles; unusual transactions or highly judgmental areas; and alternative accounting treatments within GAAP and the ramifications of such use and the treatment referred by the public accounting firm.

Western Illinois University
Board of Trustees Audit Committee Charter

- Ensure the University President and Vice President for Administrative Services, certify along with the annual audit report that:
 1. they have approved the financial statements;
 2. based on their knowledge, the financial statements do not contain any material untrue statements or omissions;
 3. based on their knowledge, the financial statements materially presents the financial condition and result of operations;
 4. they are responsible for establishing and maintaining internal controls;
 5. they have disclosed to the auditors and the Audit Committee all significant internal control deficiencies and changes that could materially affect financial data.

- Review the results of the annual financial audit with the Illinois Office of the Auditor General at the exit conference.

- Review and discuss the results if deemed necessary of any other audit performed and report/management letter (i.e. information system audits, investigative audits, etc.) issued by the Illinois Auditor General, Illinois Office of the Executive Inspector General, other audits performed by independent auditors, or the WIU Office of Internal Auditing.

- For any audit finding contained within a report or management letter issued by the Illinois Auditor General, review the University's corrective action plan and receive periodic reports as corrective action is taken.

D. Internal Auditing:

Internal auditing is an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. The WIU Office of Internal Auditing reports administratively to the University President who reviews and approves the department's budget and expenditures; completes performance evaluations and other supervisory functions for the Director of Internal Auditing; receives internal audit communications; and provides general administrative and organizational oversight to ensure the department is functioning according to University policies and procedures. Internal Auditing reports functionally to the WIU Board of Trustees Audit Committee who shall:

- Receive quarterly reports from the Director of Internal Auditing that, at a minimum, reports material (significant) reportable conditions, the corrective action plan for these conditions, and a report once these conditions have been corrected.
- Review results of significant consulting engagements performed by Internal Auditing.
- Receive, review, and approve the annual audit plan for the WIU Office of Internal Auditing before June 30th each year. The Audit Committee Chair shall sign the internal audit plan indicating approval.
- Receive and review the WIU Office of Internal Auditing's Self-Assessment with Independent Validation report completed every five years and approved by the State of Illinois Internal Audit Advisory Board. The report will provide an independent, third-party opinion regarding the internal audit activity's general conformance to the

Western Illinois University Board of Trustees Audit Committee Charter

International Standards for the Professional Practice of Internal Auditing and Illinois Fiscal Control and Internal Auditing Act, as well as, any deficiencies noted.

- Receive and review by September 30th each year an annual summary of audits and consulting engagements performed by the internal audit department during the previous fiscal year.
- Review the annual departmental personnel and operating budget approved by the University President.

E. University Compliance:

- Review the University's overall level of compliance with government regulations.
- Review significant results of compliance or regulatory audits conducted by the Illinois Auditor General and other third party external audit reports.
- Review the adequacy of management's corrective actions.

F. Legal Matters:

- Receive legal status reports from the University's Legal Counsel during regularly scheduled quarterly board meetings concerning any legal or regulatory matters that may have a significant, material impact on the University.

G. Risk Management:

- Receive periodic reports from the University's Risk Advisory Council and enterprise risk management committee; management, Internal Audit, and area-specific compliance offices regarding University risk assessments and risk mitigation activities undertaken by management.

Other Key Responsibilities:

- The Western Illinois Audit Committee shall report periodically to the Board of Trustees on its activities, and present annually to the Board of Trustees a written report of how it has discharged its duties and met its responsibilities as outlined in the charter.
- Meet with the Director of Internal Audit and University management as deemed necessary.
- Review and accept internal audit reports for assurance and consulting engagements when issued.
- At least annually, review and approve the internal audit charter.
- Review any instances when the Director of Internal Auditing indicates there is an audit scope limitation or concludes through audit testing that management has accepted a level of risk that may be unacceptable to the University.
- The Audit Committee shall address any potential disagreements between Internal Auditing and management concerning audit findings, recommendations, and residual risks by meeting with both parties to reach an acceptable resolution.
- Periodically review and assess the adequacy of the Audit Committee Charter.

WESTERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/8
Presidential Assessment and Contract

Resolution:

WHEREAS the Board of Trustees is responsible for Presidential Assessment; and,

WHEREAS constituency input was sought and received:

THEREFORE be it resolved that the Western Illinois University Board of Trustees hereby authorizes the following:

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report 16.6/7 Quarterly Long Term Plan Update

The *March 2016 Quarterly Long Term Plan Update* indicated that Western Illinois University had established 29 new partnerships with 14 regional employers as a result of the President's Executive Corporate Cluster. As of May 16, 2016, there are 64 partnerships in various forms of development. A final Fiscal Year 2016 listing of the status of these partnerships will be presented at the October 2016 Western Illinois University Board of Trustees meeting. This *Report* will be the first in annual series that documents partnership status and outcomes with Western Illinois University.

Examples of the new partnerships currently in development include the following.

- Deere and Company's Global and North American Human Resources Team will receive an executive briefing on the array of distance learning opportunities available from the University.
- Methodist College of Peoria, Heartland College, Lincoln College, Saint Louis Community College, and Southwestern Illinois College are all exploring dual enrollment (Linkages), reverse transfer, and honors articulation agreements with the University.
- Initial St. Louis relationships are being built with the Hispanic Chamber of Commerce, Ranken Technical College, St. Louis Regional Chamber of Commerce, St. Louis Science Center, and the Higher Education Consortium of Saint Louis.
- There are new internship, financial assistance, and faculty research opportunities available from the United States Navy.
- President Thomas will host two identical regional summits for 180 leaders of cities and towns in the University's 16 county service region (July 26th in Macomb and July 27th in the Quad Cities). A press conference will follow each summit, showcasing the University's new *Strategic Plan* and partnerships to advance Western's goals and priorities.

In addition to the President's Executive Corporate Cluster, there are other *Reports* and *Resolutions* on today's Board Agenda that focus on immediate and long-term planning. Specifically:

- Report number 16.6/10 *Higher Values in Higher Education 2016-2026* documents the fiscal, planning, and shared governance contexts for creating the new *Higher Values in Higher Education 2016-2026 Strategic Plan* for Western Illinois University.
- Resolution number 16.6/9 *Higher Values in Higher Education 2016-2026* seeks Western Illinois University Board of Trustees approval for implementation of the University's new *Strategic Plan*.
- Report number 16.6/8 *Higher Learning Commission: Western Illinois University's Quality Initiative* describes how the University will hold itself externally accountable to achieving the goals and priorities in *Higher Values in Higher Education 2016-2026*. The University will report strategic planning accomplishments and progress annually to the Commission during the lifecycle of the *Plan* that will be scheduled for updating in 2021.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report 16.6/8 Higher Learning Commission: Western Illinois University Quality Initiative Report

Executive Summary

As reported to the Western Illinois University Board of Trustees in December 2015, the Higher Learning Commission expects member institutions engage in quality improvement processes. With this expectation and under new accreditation processes, institutions are required to apply for and initiate one university Quality Improvement (QI) project between years five and seven of the ten-year accreditation cycle. Western Illinois University is currently in year six of its ten-year accreditation cycle.

The Commission accepted Western's Quality Initiative Proposal. In addition, the Commission asked for additional points of clarification for the relationship between the Quality Initiative, strategic planning, and intended outcomes. The response to the Commission's questions, expressed as a standard template to all institutions, appears in the pages that follow. The Board is being provided this information as part of our continuing commitment to shared governance in university planning processes and to maintain our ongoing relationship with the Commission.



Open Pathway

Quality Initiative Institutional Proposal Template

Overview of the Quality Initiative

1. Provide a title and brief description of the Quality Initiative. Explain whether the initiative will begin and be completed during the Quality Initiative period or if it is part of work already in progress or will achieve a key milestone in the work of a longer initiative.

Western Illinois University's Quality Initiative is entitled *Higher Values in Higher Education 2016-2026: A Center for Regional Excellence and Engagement*. This title was intentionally chosen to reflect the fact that the first part of the Quality Initiative (QI) will focus on the current creation of the University's new Strategic Plan. The second part of the QI will focus on the implementation of *Strategic Plan* priorities related to specific outcomes related to stabilizing enrollment, improving student persistence and completion rates, and reallocating institutional resources. This is how we will protect and advance quality during a new fiscal era in Illinois public higher education. These are the highest priorities of the University, and where we will invest our institutional time and resources.

Response to Question # 9 (below) shows that our QI includes new and existing actions. Some of these actions will be completed during the QI period (e.g., codifying structures and processes from institutional participation in the Commission's Persistence and Completion Academy). Other actions will continue beyond the Commission-defined (QI) period as we have long-term goals to achieve first-year retention and six-year graduation rate goals in the top quartile of Western Illinois University benchmark institutions.

Sufficiency of the Initiative's Scope and Significance

2. Explain why the proposed initiative is relevant and significant for the institution.
Transforming Western Illinois University into *A Center for Regional Excellence and Engagement* is extremely significant and relevant for the University. It will enable us to successfully protect and enhance quality by stabilizing enrollment, increasing retention and graduation rates, and reallocating resources. There are many benefits to the significance and relevance of our QI.

First is fulfillment of the mission and niche of Western Illinois University in Illinois Public Higher Education. In a period of reduced state appropriations for Illinois public universities, increased questions regarding the necessary capacity of Illinois public higher education, and an intensified competition for student enrollment, Western Illinois University must differentiate itself from the other 11 Illinois public universities. Furthermore, with decreasing resources, it is even more imperative that planning guides resource allocation and that the University continues in pursuit of its *Vision* to be a national leader in quality, opportunity, and affordability. This is our mission and niche in Illinois public higher education.

Second is ensuring public accountability for spending and successful student outcomes. Achieving enrollment stabilization, and increasing retention and graduation rates ensures students, families, and state taxpayers that we engage in mission-driven spending. We are publically accountable for successful student outcomes.

Third is addressing the cost shift in the financing of Illinois public higher education and the negative effects it has caused on student enrollment, persistence, and completion at Western Illinois University. The State of Illinois is currently in the 11th month of a historic, unprecedented budget impasse. The State has never failed to pass an appropriation in the 159-year history of Illinois public higher education.

Even when the impasse is resolved the University does not expect restoration of full appropriations. The State previously reduced state appropriations for Illinois public higher education by \$200 million or 14.3% from \$1.4 billion in Fiscal Year 2008 to \$1.2 billion in Fiscal Year 2015. State appropriations for Western Illinois University decreased by \$5.7 million or 9.8% from \$58.3 million to \$52.6 million during this time.

Reduced state appropriations make the University increasingly reliant on the university income fund. In Fiscal Year 2008, the General Revenue Fund (state appropriations) accounted for 49% of the University's total appropriation and the University Income Fund accounted for the remaining 51%. The General Revenue Fund accounted for only 40% of the University's total Appropriation in Fiscal Year 2015, with the University Income Fund accounting for the remaining 60%.

Compounding these effects, the majority of Western Illinois University applicants and students are cost sensitive. Over 75% of Western students receive federal, state, and/or institutional financial assistance. While estimated annual tuition, fees, room and board rates increased by 45%, from \$17,925 in Fiscal Year 2008 to \$25,982 in Fiscal Year 2015, total student enrollment decreased by 16%, from 13,175 in fall 2008 to 11,094 in fall 2015. A focus on quality through enrollment, persistence, and completion is needed to mitigate these trends.

3. Explain the intended impact of the initiative on the institution and its academic quality.

Through successful implementation of our QI, we will:

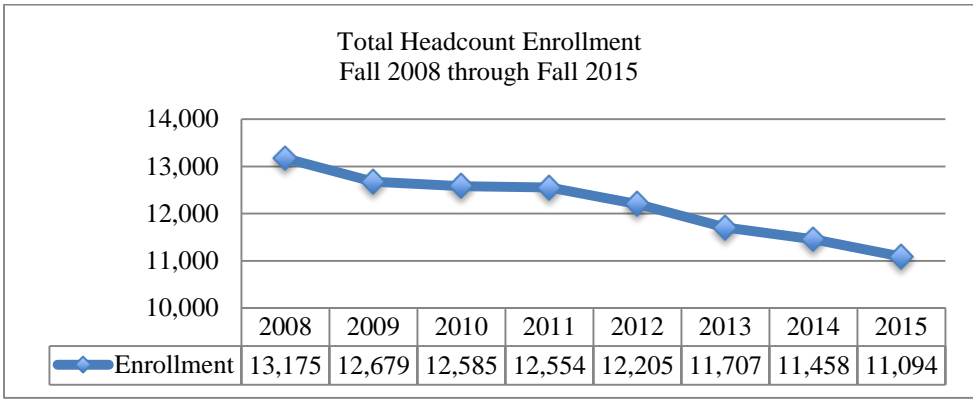
1. Support the fulfillment of our mission and niche in Illinois Public Higher Education.
2. Achieve enrollment stabilization and increase retention and graduation rates.
3. Mitigate the negative effects the cost shift in the financing of Illinois public higher education.
4. Advance institutional and academic quality in a new financial era.

Clarity of the Initiative's Purpose

4. Describe the purposes and goals for the initiative

Purpose 1: Support the fulfillment of Western Illinois University's mission and niche in Illinois public higher education by creating and implementing a new strategic plan for the University. Goal 1: Receive Western Illinois University Board of Trustees implementation approval for the new Strategic Plan by the end of Fiscal Year 2016.

Purpose 2: Achieve enrollment stabilization and improve retention and graduation rates by implementing existing and new priorities in these areas. Goal 2A: Stop enrollment decline.



Goals 2B and 2C: Increase retention and graduation rates to the median of Western Illinois University benchmark institutions in the short-term and to the top quartile in the long term.

First-Year Retention Rate and Graduation Rates¹
Fall 2015 US News and World Report Data

First-Year Retention Rates Macomb Benchmarks		Six-Year Graduation Rates Macomb Benchmarks		First-Year Retention Rates Quad Cities Benchmarks	
Appalachian State	88%	James Madison	82%	Augustana College	85%
James Madison	88%	Truman State	71%	Saint Ambrose	78%
Truman State	88%	Appalachian State	70%	Western Illinois-Quad Cities	77%
Wisconsin-La Crosse	86%	Wisconsin-La Crosse	70%	Illinois-Springfield	75%
Northern Iowa	83%	Grand Valley State	65%	Montana Technological	69%
Montclair State	83%	Northern Iowa	64%	Indiana University-East	66%
Grand Valley State	82%	Montclair State	64%	Louisiana State-Shreveport	66%
Wisconsin-Stevens Point	80%	Wisconsin-Stevens Point	61%	Texas-Permian Basin	65%
Wisconsin-Whitewater	79%	Eastern Illinois	59%	Indiana University-Kokomo	63%
Eastern Illinois	78%	Wisconsin-White Water	57%	Auburn-Montgomery	60%
Nebraska-Kearney	78%	Nebraska-Kearney	56%	Pennsylvania-Harrisburg	N/A
William Paterson	77%	Western Illinois	54%		
Central Washington	77%	Central Washington	53%		
SIU-Edwardsville	71%	Central Missouri	52%		
Western Illinois	69%	William Paterson	51%		
Central Missouri	69%	SIU-Edwardsville	50%		

Purpose 3: Mitigate the negative effects the cost shift in the financing of Illinois public higher education. Goal 3: Codify new structures and processes resulting from institutional participation in the Persistence and Completion Academy.

Purpose 4: Advance institutional and academic quality in a new financial era by aligning the portfolio and resources of Western Illinois University in a three-year plan. Goal 4A: Document reduction and reinvestment of \$20 million in annual institution funds between Fiscal Years 2016 and 2018. Goal 4B: Continue advancement in national rankings of quality, opportunity, and affordability.

¹ The graduation rate indicates the average proportion of fall 2005 through fall 2008 freshmen graduating within six years; and freshman retention is based on the average of the 2010 through fall 2013 cohorts. Western Illinois University-Quad Cities did not begin admitting freshmen until fall 2012. Six-year graduation rates are not available.

5. Describe how the institution will evaluate progress, make adjustments, and determine what has been accomplished.

Goal 1: Progress in creating the University's new strategic plan will be measured (evaluated) by following university shared governance expectations and receiving implementation approval at the Western Illinois University Board of Trustees June 2016 meeting.

Goals 2A-2C: Progress will be evaluated in semester headcount, and retention and graduation reports. Evaluation and adjustments will be reported in three sources each academic year: (1) The Vice President for Quad Cities and Planning uses *Monthly Strategic Plan Updates* to solicit and provide feedback to the Board of Trustees and university community. (2) The Vice President also presents Annual *Performance Reports* to the Western Illinois University Board of Trustees each December. These Reports describe institutional actions and compare enrollment, retention, and graduation rate trends at the University and to results achieved at peer institutions. (3) Each vice president and areas that report to the president also present Planning and Accomplishment presentations to the university community each spring.

Goal 3: Progress in codifying new structures and processes resulting from institutional participation in the Persistence and Completion Academy (PCA) will be documented in three sources discussed above. The University evaluates progress and makes adjustments through biennial reporting to the Commission. Our PCA Mentor and Senior Scholar provide feedback and consultation to the University with regard to institutional progress and plans.

Goals 4A and 4B: Progress in aligning the portfolio and resources of Western Illinois University will be documented in annual Performance Reports and Planning and Accomplishments Reports. The University evaluates contributions to the financial alignment plan through administrative decision-making and shared governance. Progress in advancing our national rankings of quality, opportunity, and affordability are documented by benchmarking institutional performance to peer institutions in publications from national secondary sources, including the Brookings Institute, Pell Institute for the Study of Opportunity in Higher Education, Princeton Review, United States Department of Education, US News and World Report, and Washington Monthly. Adjustments to university performance are based on benchmarking results and chronicled in monthly and annual reporting processes.

Evidence of Commitment to and Capacity for Accomplishing the Initiative

6. Describe the level of support for the initiative by internal or external stakeholders.

The University has been actively engaged in the creation of the new university Strategic Plan that focuses on quality through enrollment stabilization, increases in retention and graduation rates, and institutional reallocations. Actions taken to date include: receiving strategic plan input and feedback on the goals and priorities of the new Strategic Plan from the:

- Twenty-two member Academic Excellence Task Force.
- Eight member Student Cost Task Force.
- Thirty-seven member Social Responsibility Task Force.
- One thousand one hundred and one responses to a university survey on cost reductions and containment strategies (representing 58% of university employees).
- Individual meetings with the President, Vice President for Quad Cities and Planning and Board of Trustees members.
- A final draft review by ten groups with representation from students, faculty, staff, alumni, and community members.

There are high levels of external engagement (support) for Western's QI. Differentiation of Western Illinois University to the other 11 public universities contributes to the successful implementation of the goals and priorities of the statewide strategic plan for higher education. *The Illinois Public Agenda for College and Career*

Success is published and overseen by the Illinois Board of Higher Education, the State's coordinating board for higher education.

Likewise, there are high levels of internal support for Western's QI. The Board of Trustees were consulted in the development of this QI and the formation of three campus task forces that serve as the precursor to updating the University's strategic plan (Goal 1). Use of task forces and other means below are part of the planning culture and expectations at Western Illinois University.

The 22-member Academic Enhancement Taskforce focused on innovative approaches, strategies, investments, and reallocations to support academic excellence.

- Dr. Kathy Neumann, Interim Provost and Academic Vice President, Chairperson
- Dr. Bill Bailey, Dean, College of Business and Technology
- Mr. John Biernbaum, Associate Vice President, Student Services
- Dr. Ginny Boynton, College of Arts and Sciences Dean's Representative, History
- Mr. Billy Clow, Dean, College of Fine Arts and Communication
- Mr. Sean Cordes, Library Dean's Representative
- Dr. Ray Diez, College of Business and Technology Chairs Council Representative, Engineering Technology
- Dr. Georg Gunzenhauser, Quad Cities Faculty Council Chairperson, Educational Studies
- Ms. Tammy Killian, College of Fine Arts and Communication Chairs Council Representative, Theatre and Dance
- Dr. Charles Lydeard, College of Arts and Sciences Chair Council Representative, Biological Sciences
- Dr. Michael Lorenzen, Dean, University Libraries
- Dr. Susan Martinelli Fernandez, Dean, College of Arts and Sciences
- Dr. Kristi Mindrup, Assistant Vice President, Quad Cities Academic Affairs
- Dr. Russ Morgan, Interim Associate Provost for Budget, Planning and Personnel
- Dr. Katharine Pawelko, Graduate Council Representative, Recreation, Park and Tourism Administration
- Dr. Nancy Parsons, Associate Provost, Undergraduate and Graduate Studies
- Dr. Christopher Pynes, Faculty Senate Representative, Philosophy
- Dr. Miriam Satern, College of Education and Human Services Representative, Kinesiology
- Mr. Ian Shelly, College of Fine Arts and Communication Dean's Representative, Art
- Dr. Erskine Smith, Dean, College of Education and Human Services
- Dr. Danny Terry, College of Business and Technology Dean's Representative, Agriculture
- Dr. Janet Wigglesworth, College of Education and Human Services Chairs Council Representative, Kinesiology
- Dr. Ron Williams, Interim Vice President, Student Services

The eight-member Student Cost Task Force has focused on educational opportunity and affordability.

- Dr. Ron Williams, Interim Vice President for Student Services, Chairperson
- Mr. Matt Bierman, Interim Vice President, Administrative Services
- Ms. Angela Bonifas, Director, Institutional Research and Planning
- Dr. Andy Borst, Director, Admissions
- Ms. Mary Lawson, Director, Financial Aid
- Dr. Kathy Neumann, Interim Provost and Academic Vice President
- Dr. Bill Polley, Interim Associate Dean, College of Business and Technology/Associate Professor, Economics and Decision Sciences
- Dr. Joe Rives, Vice President, Quad Cities and Planning

The 37-member Social Responsibility Taskforce has focused on strategies to keep Western at the forefront as an economic and educational catalyst to our host communities, regions, and beyond.

- Dr. Joe Rives, Vice President, Quad Cities and Planning, Chairperson
- Dr. Bill Bailey, Dean, College of Business and Technology
- Mr. Brad Bainter, Vice President, Advancement and Public Services
- Mr. John Biernbaum, Associate Vice President, Student Services
- Mr. Matt Bierman, Interim Vice President, Administrative Services
- Ms. Angela Bonifas, Associate Director, Planning, Budget, and Institutional Research
- Dr. Andy Borst, Director, Undergraduate Admissions
- Ms. Pam Bowman, Director, Human Resources
- Mr. Billy Clow, Dean, College of Fine Arts and Communication
- Mr. Scott Coker, Director, Facilities Management
- Dr. Mike Fansler, Professor, Music
- Ms. Lysa Fox, Assistant Professor, Theatre and Dance
- Mr. Stephen Fraizer, Chief Information Officer
- Dr. Georg Gunzenhauser, Professor, Educational Studies/Chair, Quad Cities Faculty Council
- Dr. Everett Hamner, Assistant Professor, English and Journalism
- Dr. Jeff Hancks, Associate Professor, University Libraries
- Dr. Robert Hironimus-Wendt, Associate Professor, Sociology and Anthropology
- Dr. Fred Isele, Associate Professor, Curriculum and Instruction
- Dr. Jin Jin, Assistant Professor, Chemistry
- Dr. Gregg Jorgensen, Assistant Professor, Curriculum and Instruction
- Dr. Brian Locke, Associate Professor, Music/Chair, Graduate Council
- Dr. Michael Lorenzen, Dean, University Libraries
- Dr. Chuck Lydeard, Chairperson, Biological Sciences
- Dr. Sue Martinelli Fernandez, Dean, College of Arts and Sciences
- Ms. Kerry McBride, Business Administrative Associate, President's Office
- Dr. Don McLean, Professor, Recreation, Park and Tourism Administration
- Dr. Kristi Mindrup, Assistant Vice President, Quad Cities Academic Affairs
- Mr. Nicholas Moreno, President, Quad Cities Student Government Association
- Ms. Caryn Morgan, Academic Advisor, College of Arts and Sciences
- Dr. Amy Mossman, Associate Professor, English and Journalism
- Dr. Mark Mossman, Chairperson, English and Journalism
- Dr. Kathy Neumann, Provost and Academic Vice President
- Dr. Bill Polley, Associate Dean, College of Business and Technology
- Dr. Christopher Pynes, Professor, Philosophy and Religious Studies/Chair, Faculty Senate
- Dr. Roger Runquist, Director, Center for Innovation in Teaching and Research
- Dr. Erskine Smith, Dean, College of Education and Human Services
- Dr. Bill Thompson, Associate Professor, University Libraries
- Dr. Tammy Werner, Assistant Professor, Sociology and Anthropology
- Dr. Ron Williams, Interim Vice President, Student Services

Stabilizing enrollment, and increasing persistence and completion rates (Goals 2A-2C) are a combination of administrative actions and the implementation of new university priorities and goals. The former includes actions taken by Admissions Office; School of Distance Learning, International Studies, and Outreach; School of Graduate Studies; Student Services; Office of Academic Services; Financial Aid Office; Scholarship Office; and University Relations. The latter includes new *Strategic Plan* to increase international and distance education enrollments, and implement new corporate recruitment strategies in our host communities and regions, across the United States, and around the world.

Codifying new structures and processes resulting from institutional participation in the Higher Learning Commission's Persistence and Completion Academy (Goal 3) is a shared function of a 15-member Steering Team who coordinates activities of student, faculty, and staff teams addressing Macomb, Quad Cities, and Distance Learning student persistence and completion.

Steering Team

- Debbie Kepple-Mamros, Assistant to the Vice President for Quad Cities and Planning, Chairperson
- Ms. Audrey Adamson, Assistant Director, Quad Cities Student Services
- Ms. Angela Bonifas, Director, Institutional Research and Planning
- Dr. Andy Borst, Director, Admissions
- Ms. Jessica Butcher, Assistant to the Vice President for Student Services
- Dr. Georg Gunzenhauser, Professor of Educational Research and Educational Psychology, Quad Cities Faculty Council Chair
- Ms. Stacie Hunt, Manager, Administrative Information Management Systems
- Dr. Angela Lynn, University Registrar
- Dr. Kristi Mindrup, Assistant Vice President of Academic Affairs for Quad Cities Campus
- Ms. Brenda Parks, Director, Administrative Information Management Systems
- Dr. Nancy Parsons, Associate Provost
- Dr. Christopher Pynes, Professor of Philosophy, Faculty Senate Chair
- Dr. Roger Runquist, Director, Center for Innovation in Teaching and Research
- Ms. Christine Staley, Office Manager, Kinesiology
- Dr. Ron Williams, Interim Vice President, Student Services

Macomb Team

- Dr. Nancy Parsons, Associate Provost, Chairperson
- Dr. Lori Baker-Sperry, Professor, Women's Studies
- Dr. Pedro Bidegaray, Director, Study Abroad and Outreach
- Ms. Angelia Bonifas, Director, Institutional Research and Planning
- Ms. Jessica Butcher, Assistant to the Vice President for Student Services
- Dr. Katrina Daytner, Associate Dean, College of Education and Human Services
- Dr. Jennie Hemingway, Instructor, Recreation, Park, and Tourism Administration
- Dr. Hoyet Hemphill, Chairperson, Instructional Design and Technology
- Ms. Sarah Jewell, Assistant Director, Admissions
- Dr. Dave Lane, Professor, Psychology
- Ms. Dana Moon, Assistant to the Dean, College of Education and Human Services
- Dr. Mishelle Oaks, Director, Residence Life
- Dr. Christopher Pynes, Professor, Philosophy and Religious Studies/Chair, Faculty Senate
- Mr. Joe Roselieb, Director, Residential Facilities
- Dr. Roger Runquist, Director, Center for Innovation in Teaching and Research
- Dr. Aimee Shouse, Chairperson, Women's Studies
- Ms. Michelle Terry, Academic Advisor, College of Business and Technology
- Dr. Michelle Yager, Director, University Advising and Academic Services

Quad Cities Team

- Ms. Audrey Adamson, Assistant Director, Quad Cities Student Services
- Ms. Debbie Kepple-Mamros, Assistant to the Vice President, Quad Cities and Planning
- Dr. Susan Brooks, Assistant Professor, Mathematics
- Mr. Scott Brouette, Assistant Director, Quad Cities Student Services
- Dr. Katherine Broughton, Assistant Professor, Recreation, Park and Tourism Administration
- Ms. Heather Calvert, Financial Aid Adviser Manager
- Dr. Keva Hibbert, Assistant Professor, Economics and Decision Sciences

- Ms. Carol Marquardsen, Office Support Specialist
- Dr. Kristi Mindrup, Assistant Vice President, Quad Cities Academic Affairs
- Dr. Blair McDonald, Associate Professor, Engineering
- Dr. Jim Patterson, Assistant Dean, College of Business and Technology
- Dr. Jim Rabchuck, Assistant Dean, College of Arts and Sciences
- Mr. Kenny Wheeler, Academic Advisor
- Mr. Curtis Williams, Associate Director, Student Services
- Ms. Ashley Wolfe, Admissions Counselor

Distance Learning Team

- Dr. Roger Runquist, Director, Center for Innovation in Teaching and Research, Chair
- Dr. Christine Anderson, Associate Professor, Curriculum and Instruction
- Ms. Angela Bonifas, Director, Institutional Research and Planning
- Ms. Julie Brines, Academic Advisor
- Ms. Jessica Butcher, Assistant to the Vice President for Student Services
- Dr. Richard Carter, Director, Distance Learning, International Studies, and Outreach
- Dr. Kimberly Dodson, Associate Professor, Law Enforcement and Justice Administration
- Dr. Hoyet Hemphill, Chairperson, Instructional Design and Technology
- Dr. Leanda Hemphill, Professor, Instructional Design and Technology
- Dr. Lloyd Kilmer, Assistant Dean, College of Education and Human Services
- Ms. Teresa Koltzenburg, Assistant Director, University Relations
- Dr. In Lee, Professor, Computer Science
- Dr. Angela Lynn, Registrar
- Dr. Sue Martinelli-Fernandez, Dean, College of Arts and Sciences
- Dr. Jill Meyers, Chairperson, Law Enforcement and Justice Administration
- Dr. Kristi Mindrup, Assistant Vice President, Quad Cities Academic Affairs
- Dr. Lea Monahan, Director, School of Nursing
- Dr. Nancy Parsons, Associate Provost
- Dr. William Polley, Associate Dean, College of Business and Technology
- Dr. Emeric Solymossy, Professor, Management and Marketing
- Ms. Jennifer Tibbitts, Assistant Director, General Studies Degree Program
- Dr. David Towers, Faculty Assistant, Center for Innovation in Teaching and Research

Additionally, the Steering Team coordinates with the Data Facilitation Team. Representatives from University Technology, Institutional Research and Planning, and the Office of the University Registrar have extracted data from the University's legacy (mainframe) system to create a distributed warehouse that supports use of the predictive analytic framework in advancing student persistence and completion rates.

Aligning the portfolio and resources of Western Illinois University, and continuing advancement in national rankings of quality, opportunity, and affordability (Goals 4A and 4B) is the result of administrative decision-making and shared governance. The University's Functional Chart (available at www.wiu.edu/president) gives perspective to the different academic departments and administrative units that will be involved in university-wide actions. There are 11,094 students, 679 faculty, and 1,232 staff that live, learn, and work at Western Illinois University.

7. Identify the groups and individuals that will lead or be directly involved in implementing the initiative.

- A. The President’s Leadership Team will serve as the Steering Team for Western’s QI, in addition to serving as task force chairs. The Vice President for Quad Cities and Planning will keep the Western Illinois University Board of Trustees, university, and external communities informed of QI progress through the reporting structures discussed in response to Question #5 (above).

QI Steering Team

- Dr. Jack Thomas, President
- Dr. Kathy Neumann, Interim Provost and Academic Vice President
- Mr. Brad Bainter, Vice President, Advancement and Public Service
- Mr. Matt Bierman, Interim Vice President, Administrative Services
- Dr. Joe Rives, Vice President, Quad Cities and Planning
- Dr. Ron Williams, Interim Vice President, Student Services
- Ms. Kerry McBride, Business Administrative Associate, President’s Office

8. List the human, financial, technological and other resources that the institution has committed to this initiative.

This *Application* includes those involved in the preliminary stages of Western Illinois University’s QI. This includes the Board of Trustees, President’s Leadership Team, as well as members from the University’s three task forces and the five Persistence and Completion Academy Teams. Inclusive planning processes are part of the Western Illinois University culture.

Exact costs and the application of technological and other institutional resources cannot be documented at the time of writing this *Application*. Each of the University’s goals relate to institutional planning. Expenditures, reallocations, and applications are a function of recommendations and approvals.

By submitting this application, Western Illinois University commits to applying resources to its QI. The University has a strong history of applying institutional resources to planning goals and priorities, as documented in annual *Higher Values in Higher Education Updates* available at www.wiu.edu/university_planning/annualstrategicplanupdates.php. The Vice President for Quad Cities and Planning will keep a running log of institutional resource allocated to Western’s QI, so that the University can respond to future inquiries.

Appropriateness of the Timeline for the Initiative

9. Describe the primary activities of the initiative and timeline for implementing them.

The table below displays the general timeline for Western Illinois University’s QI. “IP” indicates that the initiative is currently in progress. A “+” sign in the 2019-2020 column indicates that actions will continue beyond the Commission-defined QI period. Western Illinois University is committed to continuous process improvement and will continue implementing and evaluating strategies to raise institutional retention and graduation rates beyond the QI duration. Our long-term goals (outcomes) include raising the top quartile of our benchmark institutions on these measures.

Western Illinois University QI Implementation Timeline					
	2015	2016	2017	2018	2019
	2016	2017	2018	2019	2020
Goal 1: Create and Implement New Strategic Plan					
Create new Strategic Plan	IP				
Implement new Strategic Plan	X	X	X	X	X
Goal 2: Stabilize Enrollment; Increase Retention and Graduation Rates	IP	X	X	X	+
Goal 3: Participate in Persistence and Completion Academy	IP	X	X		
Codify Structures and Processes from Academy Participation		X	X		
Goal 4: Enact Plan to Reduce and Reallocate Institutional Expenditures	IP	X	X		

Actions to date for creating the new *Strategic Plan* (Goal 1) were described in response to Question #6 (above). The University is on schedule to present the new *Plan* to the Western Illinois University Board of Trustees for implementation approval at their June 2016 meeting. Implementation of the new *Strategic Plan* will begin immediately if the Board approves it.

Stabilizing enrollment, and increasing persistence and completion (Goals 2A-2C) are the result of ongoing and new initiatives that will be chronicled in future QI reporting. Using selected new enrollment initiatives as illustrations:

- The Vice President for Quad Cities and Planning established and communicates monthly with a network of 47 high school superintendents, principals, and guidance counselors from 25 schools in the Quad Cities region. Topics of these communications include Western’s Special Admission Program for High School Seniors, reduced academic year 2016-2017 tuition for new students, the new Masters degree in Curriculum and Instruction, and summer 2016 camps offered at Western Illinois University.
- The School of Distance Learning, International Studies and Outreach developed and initiated implementation of a new *International Student Recruitment/Enrollment Management Plan*.
- The Vice President for Quad Cities and Planning is working with seven staff members from Development; School of Distance Learning, International Studies, and Outreach; Office of the Vice President; President’s Office; and the Saint Louis Regional Office to expand corporate recruitment efforts and partnerships. Fourteen companies have engaged in 29 new and immediate partnerships with the University. These partnerships are in the area of employee (student) recruitment, internships, advisory board service, sponsored credit, and philanthropic partnerships with the University.

Codifying structures and processes resulting from university participation in the Persistence and Completion Academy (Goal 3) is in progress. The University is at the midpoint of Academy participation, completing year two of a four-year project. Major accomplishments to date include:

- Working with University Technology to create a distributed, longitudinal database from the University’s legacy (mainframe) system to support use of the predictive analytic framework in the analysis of student persistence and completion rates.
- Completing initial decision tree analyses and an inventory of synchronous and asynchronous retention programs and services. Results of these two activities will be merged and communication strategies will be enacted to promote increased student participation in programs and services designed to increase persistence and completion rates.
- Publishing a university-wide retention newsletter on the web to track use and target future interest stories based on web analytics.

Aligning the portfolio and resources of Western Illinois University (Goals 4A and 4B) includes developing/implementing a three-year plan (Fiscal Years 2016-2018) to reinvest and reduce institutional expenditures by \$20 million annually. Currently eight academic programs are under review for elimination due to low enrollment levels and/or degrees conferred. To date, the University has made appropriated budget reductions of over \$6 million.

In summary, *Western Illinois University: A Center for Regional Excellence and Engagement* positions the University for a sustainable future driven by our mission and niche in Illinois public higher education, delivering high quality, in demand, and affordable programs and services to current and new markets of students. Our QI reinforces Western's culture of continuous process improvement and accountability reporting as we become a national leader in quality, opportunity, and affordability. Through review of the materials provided in this report, you will find that Western's Illinois University's QI demonstrates:

1. Institutional Scope and Significance

Western Illinois University's QI builds upon our mission and niche in Illinois public higher education and culture committed to quality continuous improvement. We will continue to use inclusive, mission-driven academic and institutional strategic planning processes to improve enrollment, retention, and graduation rates. These actions support our institutional culture and vision to be a leader in quality, opportunity, and affordability. Institutional significance and relevance is demonstrated in the University's proactivity in establishing and sustaining its future in an era of increasing competition for students and decreasing fiscal support for Illinois public higher education. We will chart our destiny, rather than being defined by our circumstances.

2. Clarity of Purpose

Western's QI is clearly defined. We will create and implement a new strategic plan; stabilize enrollment, and improve retention and graduation rates; complete institutional participation in the Persistence and Completion Academy; and reduce and reallocate \$20 million in university expenditures. All of these are means to the end of protecting and enhancing quality in a new financial era for Illinois public higher education. Any narrowing of this QI would be a disservice to the University.

3. Commitment and Capacity

Western's QI Steering Team is the senior leadership of the institution. Commitment and involvement to our QI is further demonstrated by Board of Trustees engagement, and participation of students, faculty, staff, and alumni on the current Task Forces and Persistence and Completion Academy Teams. We are following the same successful strategic planning processes used at Western since 2005 to set goals and priorities, achieve timelines, allocate resources, evaluate progress, make adjustments (where appropriate), and engage in public accountability reporting.

4. Appropriateness

Western's QI is consistent with the vision, mission, values, and niche of the University and our supporting strategic planning and resource allocation processes. The QI is carefully and intentionally designed to advance the University's highest priorities and goals in a manner that reinforces the university culture by engaging in quality continuous process improvement as we advance our service to Illinois public higher education and beyond.

Institutional Contact for Quality Initiative Proposal

Joseph Rives, Ph.D., Vice President, Quad Cities and Planning

Name and Title

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Phone

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Email

Western Illinois University

Institution



WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report 16.6/9

Fiscal Year 2016 Quad Cities Graduate Study Center Update

The Western Illinois University Board of Trustees approved the University co-hosting the Quad Cities Graduate Studies Center (GradCenter) with Saint Ambrose University in fall 2012. This *Report* updates the Board on the history of the GradCenter, transitions occurring since its location change, and current collaborative efforts of member institutions in advancing the degree and certificate attainment rates of area residents.

The format used to present this information is the Illinois Board of Higher Education's *General Grants FY16 Evaluation Form* that appears on the pages that follow. The Vice President for Quad Cities and Planning at Western Illinois University completed this Evaluation as part of his responsibilities to the GradCenter.

ILLINOIS BOARD OF HIGHER EDUCATION GENERAL GRANTS FY2016 EVALUATION FORM

Background

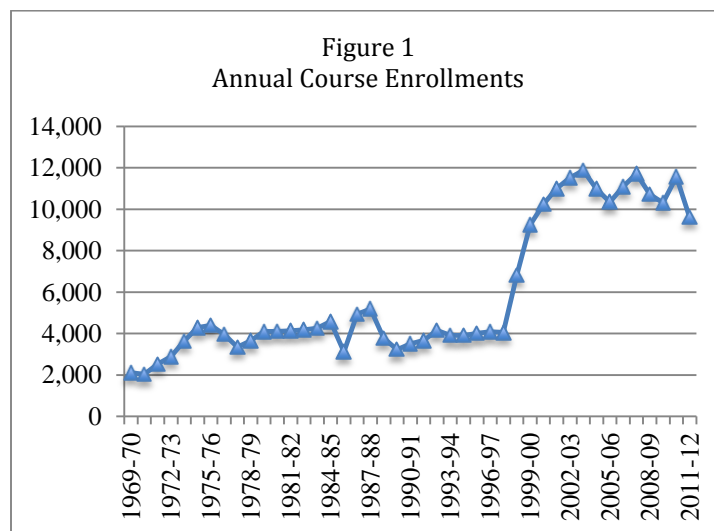
This program evaluation begins with a discussion of the history of the Quad Cities Graduate Study Center (GradCenter). The GradCenter received the first Higher Education Cooperation Act grant awarded in Illinois 47 years ago. This grant frames the history, traditions, present and future of the Center.

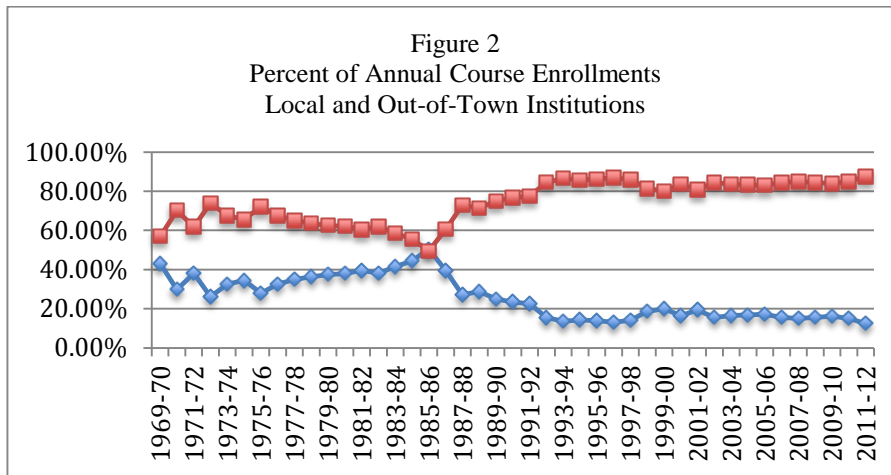
Establishment of the GradCenter began with the recognition that there were limited graduate, professional, and advanced study opportunities in the Quad Cities region prior to 1969. In responding to these needs, the Illinois Board of Higher Education and State of Iowa Board of Regents established the GradCenter to address critical educational access and opportunity in this “underserved” area.

Beginning in 1969, seven member institutions (Illinois, Iowa, Iowa State, Marycrest, Northern Illinois, Northern Iowa, and Western Illinois) provided graduate education courses, certificates, and degree programs on the campus of Augustana College from 1969 until 2011. The Center’s location was intentionally chosen as a “neutral site,” as Augustana did not offer graduate education. The belief at the time was that locating the GradCenter at a member institution would give that institution an unfair recruitment advantage in competing for Quad Cities students.

The role of the GradCenter staff during this time was to help facilitate instruction, identify programmatic needs, and assist in graduate student recruitment. While the model of the GradCenter served the Quad Cities community well for many years, the Center’s Fiscal Year (FY) 2012 strategic planning revealed four significant changes in the educational landscape.

First, annual course enrollments increased from 2,000 in Fiscal Year 1970 to just under 10,000 in FY12. Second, where the two local universities (Saint Ambrose University and Western Illinois University) once accounted for 40 percent of course enrollments, they now account for 90 percent of course enrollments. Third, in fall 2011, the GradCenter moved from Augustana to Saint Ambrose and Western Illinois. The location change was made as made a cost savings measure and to support a new era favoring institutional collaboration over competition. Fourth, a Task Force on the future sustainability and long-term planning for the GradCenter was established.





Between January and September 2012, there were seven meetings addressing the future of the GradCenter. Input was received from the task force, Quad Cities Presidents and Chancellors Council, and the GradCenter’s Committee of the Whole. Conversations focused on six antecedents supporting the need for change to sustain the future of the Center.

GradCenter Task Force Membership
Tara Barney, Quad Cities Chamber of Commerce
Tanisha Briley, City of Davenport
Paul Crawford, Northern Illinois University
Charlie Evans, University of Illinois
Ray Forsythe, City of Moline
Paul Koch, Saint Ambrose University
Liz Loveless, Saint Ambrose University
Deb Peterson, Community Member
Joe Rives, Western Illinois University

GradCenter Task Force Meetings
1/30/12: E-mail on context sent to committee
2/23/12: Committee meeting
4/26/12: Committee meeting
6/20/12: Presidents/Chancellors Council update
7/24/12: Board working session
8/28/12: Presidents/Chancellors Council update
9/14/12: GradCenter Board meeting

1. The change in location from Augustana College minimized need for on-site logistical coordination. Institutional staffs hosting GradCenter classes provide these functions.
2. Benchmarking of nine urban consortia showed many opportunities for collaboration beyond sharing of space. This includes authoring grants, identifying feeder undergraduate programs, sharing co-curricular resources, allowing students to register in courses from other institutions, and participating in joint economic/workforce development activities. Benchmarking also showed other consortia including community colleges and all local colleges and universities.
3. The Update to the GradCenter Strategic Plan had four goals. In considering the finite nature of time and resources, it was recommended that marketing is an institutional function and highest priority should be placed on facilitating collaboration.
4. Declines and uncertainties in Illinois and Iowa state funding resulted in staffing and operational budget reductions. Annual GradCenter funding decreased from \$383,228 in FY09 to \$118,413 in FY13, a 69% reduction in five years.

5. There have been increased local graduate educational opportunities, and growth offers new opportunities for collaboration.
6. Projected high school graduates are expected to increase western Iowa and northeast Illinois. The State of Iowa has reallocated funding from the GradCenter to another Iowa consortium and institutions that once had higher enrollments in the GradCenter now have off-campus instruction in other areas Illinois and Iowa. The GradCenter must be efficient and effective with its resources to maintain sustainability.

This strategic planning resulted in two structural changes that were approved by States of Illinois and Iowa.

1. GradCenter membership was extended to all local Quad Cities postsecondary institutions, i.e., Augustana College, Black Hawk College, Eastern Iowa Community Colleges, Palmer College of Chiropractic, and Trinity College of Nursing and Health Sciences joined Saint Ambrose University, Western Illinois University, Drake University, and the University of Iowa. These nine member institutions provide educational opportunities in the Quad Cities.
2. The local member institutions also committed to supporting the goals and priorities of the Quad Cities Presidents and Chancellors Council (QCPC) and the Quad Cities Academic Council (QCAC). The QCPC consists of the senior line administrator located in the Quad Cities for the postsecondary institution that they represent. The Chancellor of Eastern Iowa Community College chairs the QCPC. The QCAC Its membership consists of the senior vice president from each of the member institutions, and is chaired by the Vice President for Western Illinois University-Quad Cities and Planning.

Together, these institutions partnered to receive a Lumina grant to build the infrastructure to help increase Quad Cities postsecondary certificate and degree rates of area residents from current levels to 60%. The Quad Cities was only one of 19 communities in the nation to receive this three-year, capacity building grant from the Lumina Foundation.

The GradCenter's Mission

The *Mission* of the Quad Cities Graduate Study Center is to further and support collaborative efforts among higher education institutions and the Quad Cities community. Organizationally, one staff member is paid from grant funding, and supports the Center's first goal. The Quad Cities Presidents and Chancellors Council oversees the Center's second goal.

Major Goals and Objectives Established for the GradCenter

Goal 1: Support Postsecondary Educational Access in the Quad Cities.

- Objective 1: Provide assistance to prospective and current students.
- Objective 2: Administer graduate and professional school admissions tests.
- Objective 3: Provide logistical support requested by member institutions.
- Objective 4: Maintain currency of the GradCenter Website.
- Objective 5: Respond to the legal, fiduciary, and reporting responsibilities of the GradCenter.

Goal 2: Achieve the Goals and Priorities of the Quad Cities Lumina Grant

Objective 1: Participate in the development and implementation of a longitudinal Quad Cities K-16 data warehouse.

Objective 2: Engage in Lumina Community Planning Grant activities.

Completion Status of Major Goals and Initiatives

Goal 1 Status: Ongoing.

Evidence of ongoing support for Quad Cities postsecondary educational access was demonstrated by:

- a) Administering multiple graduate and professional school admissions tests (LSAT, MPRE, NBCC Counseling, GRE Subject and the Miller Analogy Tests) for prospective students throughout the year.
- b) Responding to prospective and current student inquiries, and providing referrals to member institutions.
- c) Providing logistical support to Drake University Master's in Public Administration courses held at the New Ventures Center located in Davenport, Iowa.
- d) Maintaining currency of the GradCenter Website.

Evidence demonstrating response to the legal, fiduciary, and reporting responsibilities of the GradCenter was demonstrated by developing and administering the FY16 Budget; completing the FY15 external audit; and responding to all Illinois, Iowa, and Lumina grant reporting requirements.

Goal 2 Status: In Progress.

Evidence of supporting Lumina grant goals and priorities include supporting development and implementation of the longitudinal Quad Cities K-16 data warehouse. Members of the Quad Cities Presidents and Chancellors Council and the Quad Cities Academic Council serve on each of the entities engaged in actions described below.

- a) United Way of the Quad Cities Area staff are revising and expanding the data-sharing agreement to incorporate social service agency data into the warehouse. The goal is to provide agencies with clear measures of the educational impact of their programs. It also provides school districts with empirical data to evaluate if programmatic benefits warrant expenditure(s) in their districts and/or schools.
- b) The Quad Cities Education Council is currently piloting warehouse use and protocol with outcomes data from the Iowa's Jobs for America's Graduates (iJAG) program in two local classrooms. The Deere Foundation, Hubbell Waterman Foundation, and the United Way of the Quad Cities Area provided funding to support a three-year commitment to this program.
- c) The United Way of the Quad Cities Area funded the (Iowa) Area Education Agency's including student unit records from the National Student Clearinghouse into the data warehouse. This supports tracking of student, persistence, and completion rates across (instead of within) member institutions.

The addition of Clearinghouse data gives Quad Cities colleges and universities more accurate persistence and completion information. Federal reporting guidelines only track college and university retention rates for students who start and consecutively enroll at a given college or university. With many educational opportunities immediately available from the seven Quad Cities institutions, it is observed that many students transfer between local institutions to earn a

postsecondary certificate or degree. The addition of Clearinghouse data gives evidence on how the Quad Cities institutions are collectively increasing postsecondary educational achievement, regardless of which local institution the student started and finished at.

Further evidence of supporting Lumina grant goals and priorities include engaging in community planning activities.

- a) The Quad Cities Presidents and Chancellors serving on the Quad Cities Chamber of Commerce's Regional Opportunities Council that authored the new *Q2030 Regional Strategic Plan*. This *Plan* includes recruitment and retention of a highly educated and skilled workforce as a top priority. Together, members of the Quad Cities Chamber of Commerce (which is the largest business organization in western Illinois and eastern Iowa, representing nearly 2,000 businesses with over 80,000 employees) will help local colleges and universities achieve educational goals and priorities.
- b) The Quad Cities Chamber of Commerce is partnering with local business and industry to expand employer awareness of student internship opportunities and requirements. The Chamber coordinates closely with the Quad Cities Presidents and Chancellors Council and the Quad Cities Academic Council on this initiative. These actions support educational attainment and workforce recruitment and retention goals. Successful student internship participation is correlated with improved academic performance, retention, and often results in local job placement.
- c) The Quad Cities Academic Council:
 1. Recommended inclusion of National Student Clearinghouse data into warehouse.
 2. Partnered with the (Iowa) Community Foundation of the Great River Bend to inventory scholarship opportunities and to educate prospective and current donors on the importance of multiyear scholarships to reduce student reliance on loans. Many externally funded scholarships focus on the first year alone, leaving a financial void for continuing students.
- d) The Quad Cities Academic Council is currently:
 1. Inventorying retention practices at member institutions. The first goal of this data collection exercise is to identify best practices that may be adapted at individual institutions. The second goal is to identify opportunities for resource sharing, reduction of duplication of services, and to identify service gaps in promoting student persistence and completion across member institutions.
 2. Inventorying institutional practices used at member institutions to assist in the recruitment, retention, and achievement of minority students, faculty, and staff. The goals of this data collection exercise are the same as the goals for the inventory of student retention practices.
 3. Supporting Eastern Iowa Community College's hosting of an April 2016 daylong workshop for educational practitioners and community leaders to identify challenges related to student persistence and to develop an action plan that successfully addresses these barriers.
 4. Addressing other educational issues challenging our host communities. For example, Augustana College, Black Hawk College, and Western Illinois University-Quad Cities are engaged with Global Communities to assist in the economic and educational revitalization of a local Hispanic neighborhood in Moline, Illinois, where only 8% of 1,400 residents have a postsecondary educational credential. Eastern Iowa Community College, Palmer College of Chiropractic, and Saint Ambrose University have entered into similar conversations for challenges in Davenport, Iowa.

Overview of Populations Served

The primary populations served by the GradCenter are the residents of the Davenport-Moline-Rock Island Metropolitan Statistical Area (MSA) and students enrolled in Quad Cities postsecondary education. The most recent published data for the last two years are reported in the tables below.

	2013	2014	Difference	
			Number	Percent
White	330,412	328,735	-1,677	-0.5%
Black	29,558	27,059	-2,499	-8.5%
American Indian	750	1,044	294	39.2%
Asian/Pacific Islander	7,255	7,153	-102	-1.4%
Other	7,209	6,177	-1,032	-14.7%
Two or More Races	8,073	12,214	4,141	51.3%
Hispanic (of any race)	31,273	31,883	610	2.0%
Total	383,257	382,382	-875	-0.2%

Source: <http://proximityone.com/metros/2013/cbsa19340.htm>

College/University	Fall 2015									
	White	Black	Hispanic	Asian	American Indian	Pacific Islander	Two or More	Foreign	Unknown	Total
Augustana	1,859	91	244	51	1	1	91	87	29	2,454
Black Hawk	3,817	573	582	137	18	16	0	21	107	5,271
Eastern Iowa	3,446	377	379	147	13	6	171	0	230	4,769
Palmer	832	17	29	61	9	1	13	0	2	964
Saint Ambrose	2,600	102	190	45	4	3	68	116	138	3,266
Trinity	219	10	15	7	0	1	17	0	2	271
Western Illinois	1,205	88	123	24	2	0	41	12	45	1,531
Total	<u>13,978</u>	<u>1,258</u>	<u>1,562</u>	<u>472</u>	<u>47</u>	<u>28</u>	<u>401</u>	<u>236</u>	<u>553</u>	<u>18,535</u>
	Fall 2014									
Augustana	1,880	103	235	53	4	2	92	56	48	2,473
Black Hawk	4,363	769	639	292	20	21	0	22	181	6,307
Eastern Iowa	3,312	420	381	126	18	6	188	0	183	4,634
Palmer	835	15	22	59	7	1	13	0	9	961
Saint Ambrose	2,841	109	195	42	7	2	65	96	151	3,508
Trinity	214	8	6	3	0	1	11	0	3	246
Western Illinois	1,210	72	117	22	3	2	26	11	60	1,523
Total	<u>14,575</u>	<u>1,496</u>	<u>1,595</u>	<u>597</u>	<u>59</u>	<u>35</u>	<u>395</u>	<u>185</u>	<u>635</u>	<u>19,572</u>

1. Only Quad Cities enrollment is counted. Data for Black Hawk, Eastern Iowa, Palmer, and Western Illinois campuses and locations outside the Quad Cities is not included in the reporting.

Methodology for Evaluating Program Effectiveness

Methods used to evaluate the GradCenter's effectiveness relate to achieving Illinois, Iowa, and Lumina grant funding requirements. Use of survey instruments, written tests, and interviews are not applicable to the Center's evaluation.

The first method identified below relates to the fiscal sustainability of the GradCenter. The remaining three methods are targeted at how the Center can help increase overall Quad Cities postsecondary enrollment, with emphasis on the recruitment of members from traditionally underrepresented groups.

- Method 1: Exercise conservative fiscal management given that the State of Iowa reduced funding to \$5,000, and State of Illinois FY16 funding was not received.
- Method 2: Continue to strengthen the GradCenter’s ongoing relationship with the Lumina Foundation.
- Method 3: Assist member institutions in increasing postsecondary enrollment.
- Method 4: Assist member institutions diversifying student enrollment.

Grant Outcomes and Effectiveness

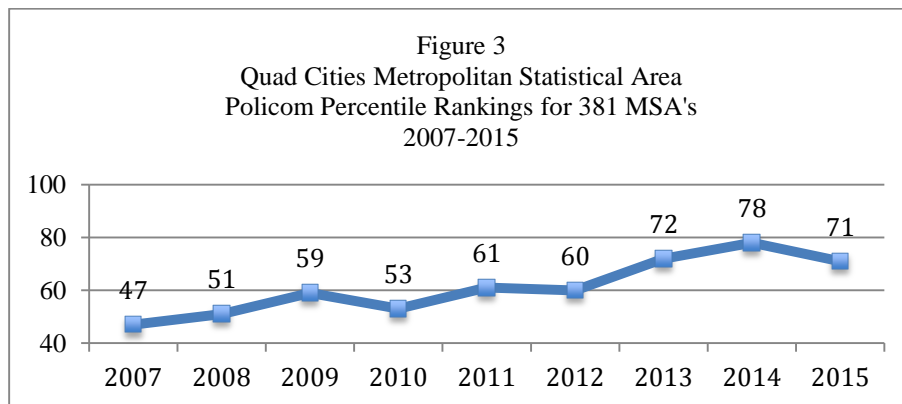
Outcome 1: Monthly expenditures were limited to an average of \$2,500 to cover salary, payroll, taxes, web fees, auditor, and insurance. Staffing at the GradCenter has been significantly reduced from 4.0 Full Time Equivalent (FTE) employees to 0.75 FTE due to significant budget reductions.

Outcome 2: Eastern Iowa Community Colleges in partnership with the American Association of Community Colleges received a \$60,000 grant from Lumina to focus on high school concurrent enrollment. Black Hawk College also offers concurrent enrollment, where high school students take college courses at the high school for high school and/or college credit.

In an alternative model to give additional educational opportunities, Western Illinois University introduced dual enrollment where high school students take college classes at the University for high school and/or college credit. Participating students pay 1/3rd the published rate for tuition. To build awareness and enrollment in this program, the University is currently working with 47 high school superintendents, principals, and guidance counselors from 25 schools in the Quad Cities area. Similar efforts are also being applied within the Macomb area.

Outcome 3: Total Quad Cities postsecondary enrollment was down 1,037 students (5.6%) between fall 2014 and fall 2015. Price sensitivity and elasticity, population migration, and net exporting of high school and community college graduates influenced enrollment decline, and speaks to the importance of the GradCenter in supporting educational access and affordability.

Data from Figures 1 (above) and 3 (below) shows that as postsecondary enrollment increases, so does the Quad Cities standing on National Policom rankings of economic strength. Higher educational degree levels of area residents are positively correlated with family income levels and measures of community economic strength.



Outcome 4: Total minority student enrollment as a percent of total Quad Cities postsecondary enrollment decreased from 21.3% in fall 2014 to 20.3% in fall 2015. However, the most recent comparisons show that Quad Cities postsecondary enrollment is more diverse than the local population. These results underscore the importance of the GradCenter for positioning the Quad Cities MSA in the global economy of the 21st century.

	MSA Population		Postsecondary Enrollment	
	<u>Number</u>	<u>Percent</u>	<u>Number</u>	<u>Percent</u>
White	328,735	86.0%	14,575	81.9%
Black	27,059	7.1%	1,496	8.4%
American Indian	1,044	0.3%	59	0.3%
Asian/Pacific Islander	7,153	1.9%	632	3.6%
Other	6,177	1.6%	635	3.6%
Two or More Races	12,214	3.2%	395	2.2%
Total	<u>382,382</u>	<u>100.0%</u>	<u>17,792</u>	<u>100.0%</u>
Hispanic	31,883	8.3%	1,595	9.0%
Non-Hispanic	350,499	91.7%	16,197	91.0%
Total	<u>382,382</u>	<u>100.0%</u>	<u>17,792</u>	<u>100.0%</u>

1. Totals exclude foreign students. Comparable data was not available in the MSA dataset.

Future Plans and Institutionalization of the GradCenter

The member institutions will seek grant funding to continue the goals and priorities of the Quad Cities Lumina grant during FY17. Additionally, we will work with the States of Illinois and Iowa to restore fair and predictable funding to the Center. Using reserve funding is not sustainable, and we cannot jeopardize the sustainability of a Center that has had pronounced benefits to the Quad Cities economy for 47 years.

The GradCenter remains fiscally viable at the current time only because member institutions have voluntarily continued (or institutionalized) advancement of the Center’s goals and priorities. However, the Center was not intended to be an unfunded mandate. Reduced staffing resources are associated with enrollment and economic decline. The GradCenter suspended the vast majority of outreach and recruitment activities that previously benefited all of the member institutions and our host communities out of economic necessity.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Report 16.6/10 Higher Values in Higher Education: A Center for Regional Excellence and Engagement 2016-2026

Executive Summary

This *Report* documents the fiscal, planning, and shared governance contexts for creating a new *Higher Values in Higher Education 2016-2026 Strategic Plan* for Western Illinois University. These are the antecedents for the next Board of Trustees *Resolution* requesting approval of the University's new *Strategic Plan*.

Western Illinois University has strong traditions of using shared governance and demonstrating transparency in university planning. Unpredicted fiscal challenges to Illinois public higher education, decreasing high school and community college graduates, and increasing competition for students requires that the University design and implement its new strategic plan one year in advance of its scheduled update.

Using new and innovative methods of shared governance, the University demonstrated agility to rapidly changing and volatile economic conditions. Successful implementation of the goals and priorities in the new *Strategic Plan* will enable the University to position itself for a sustainable future as we advance our vision, mission, values, and niche in Illinois public higher education.

Background

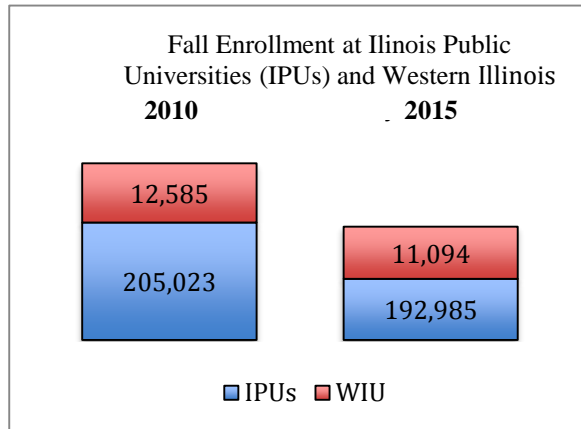
Western Illinois University entered Fiscal Year 2016 knowing that it was in a new fiscal reality. Governor Rauner proposed Fiscal Year 2016 higher education appropriated funding reductions as high as 30% for the 12 public universities in spring 2016. An overview of the magnitude of potential budgetary reductions, including the impact on university reserves, was presented to the Western Illinois University Board of Trustees at their summer 2015 retreat with members of the University Professionals of Illinois in attendance.

While a reduced budget was foreseen, the current fiscal circumstances were unforeseen and unprecedented. Fiscal Year 2016 is the first-time in the 159-year history of Illinois public higher education that the State of Illinois failed to pass an annual appropriation for higher education. We were in the 10th month of the unprecedented budget impasse when we received \$14.9 million in stop-gap funding for university budgets and \$5 million (partial reimbursement) for state-need based financial assistance awards, known as the Monetary Assistance Program (MAP). This compares to the University's Fiscal Year 2015 appropriation of \$51.4 million and MAP funds of \$11 million.

Western Illinois University also entered Fiscal Year 2016 knowing that we needed to stabilize enrollment. There is declining enrollment in Illinois public higher education. Total headcount enrollment between fall 2010 and 2015 decreased by 6% for all Illinois public universities and 11% at Western Illinois University.

In the face of declining high school graduates, community college enrollments, and increasing competition for students, Western Illinois University must become more aggressive and innovative in student recruitment. We will extend beyond a focus on traditional students in Macomb and non-traditional students in the Quad Cities.

We have already started on these efforts, with new recruitment strategies for high school, community college, distance education, international, honors, and working students in order to negate previous enrollment declines. Reversing these trends and stabilizing enrollment also means increasing the University's retention and graduation rates.



Following institutional precedent for the engagement of inclusive and transparent university planning processes, in fall 2016:

- These task forces were formed and charged with providing the President's Leadership Team with recommendations.
 - The 22-member Academic Enhancement Taskforce focused on innovative approaches, strategies, investments, and reallocations in support of academic excellence.
 - The eight-member Student Cost Task Force focused on educational opportunity and affordability.
 - The 37-member Social Responsibility Taskforce focused on strategies to keep Western at the forefront as an economic and educational catalyst to our host communities, regions, and beyond.
- A university-wide survey on suggestions and recommendations for addressing budgetary reductions was administered. The survey had a 58% response rate (1,101 responses).
- The University also convened its Academic Program Review and Elimination Committee. By contractual agreement with the University Professionals of Illinois, this committee is required to make programmatic recommendations when the elimination of a program will result in faculty layoffs. The APREC is currently reviewing eight academic programs.

As university planning and the statewide budget impasse continued, institutional responses to the statewide budget impasse were summarized in a power point presentation presented to the Western Illinois University Board of Trustees in March 2016. This presentation was subsequently presented and discussed with all shared governance groups on both campuses, the Social Responsibility Task Force, and is posted on the University Planning Website (www.wiu.edu/university_planning/presentations.php) The budget news website (wiu.edu/budget/news) contains 18 postings from Fiscal Year 2016, and a link to a "FAQ" website (www.wiu.edu/vpaps/university_relations/fiscal_year_facts/index.php)

As the President's Leadership Team was forming the priorities and goals for the Western Illinois University Board of Trustees, the Trustees asked for individual meetings with the President and Vice President for Quad Cities and Planning to discuss preliminary planning. The Board was so impressed with the plan and

the urgency of the fiscal situation that it asked in April for the draft strategic plan to be presented for approval in June.

In keeping with the University's commitment to shared governance, eight meetings were held with campus constituencies. This includes meetings with the Social Responsibility Task Force (twice); President's Advisory Group; Deans and Academic Directors; Focus Group of Community Leaders and President's National Advisory Group Members; Alumni Council; Student Focus Group; University Planning Advisory Group; Faculty and Staff Focus Groups.

The New Strategic Plan

The meetings discussed above affirmed the goals and refined the priorities of *Higher Values in Higher Education 2016-2026*. By stabilizing enrollment, providing responsive academic programs, supporting innovation, decreasing institutional reliance on state funding, and increasing business acumen, Western Illinois University will be positioned for a long-term sustainable future based on the changing landscape of Illinois public higher education. Successful implementation of the goals and priorities in this *Plan* will advance our vision, mission, values, and niche in Illinois public higher education.

We will continue to provide, from day one of the student's experience, high impact, applied learning experiences inside and outside of the classroom, leading to student employment and/or graduate school placement upon graduation. By following our plans, we will continue to advance in national rankings of quality, opportunity, and affordability. *Higher Values in Higher Education 2016-2026* affirms that we are a national benchmark institution serving as a Center for Regional Excellence and Engagement.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution 16.6/9 Higher Values in Higher Education: A Center for Regional Excellence and Engagement 2016-2026

Resolution:

WHEREAS the Western Illinois University Board of Trustees recognizes that there are documented fiscal, planning, and shared governance contexts for creating a new *Higher Values in Higher Education 2016-2026 Strategic Plan* for Western Illinois University one year in advance of its scheduled update; and,

WHEREAS the creation of the new *Strategic Plan* used methods of shared governance and transparency, while demonstrating agility to respond to changing and volatile economic conditions; and,

WHEREAS University plans and responses to the Fiscal Year 2016 state budget impasse were presented to the Board in March 2016, followed by presentations and discussions with all governance groups on both campuses, the Social Responsibility Task Force, and posting of the power point presentation on the University Planning website immediately thereafter; and,

WHEREAS the goals and priorities of the new *Strategic Plan* include significant input and feedback from the university community, including feedback received from the 22-member Academic Excellence Task Force, eight member Student Cost Task Force, 37-member Social Responsibility Task Force, review of 1,101 responses to a university survey on cost reductions and containment (representing 58% of university employees), and a final draft review by 10 groups with representation from students, faculty, staff, alumni, and community members; and,

WHEREAS the Board finds that goals and priorities in the new *Strategic Plan* will enable the University to position itself for a sustainable future, grounded as a Center for Regional Excellence and Engagement, and consistent with our vision, mission, values, and niche in Illinois public higher education.

WHEREAS the Board is legally and fiduciary responsible for Western Illinois University, and specific implementation strategies and initial outcomes and baseline measures will be discussed at the Board's summer 2016 retreat.

THEREFORE be it resolved that the Board of Trustees approves implementation of *Higher Values in Higher Education 2016-2026: A Center for Regional Excellence and Engagement*; and be it further resolved that the Board commends the university community for working collaboratively and efficiently in advancing strategic planning at Western Illinois University.

**Higher Values in Higher Education:
A Center for Regional Excellence and Engagement
2016-2026**

Our Vision

Western Illinois University will be the leader in educational quality, opportunity, and affordability among regional public universities.

Our Mission

Western Illinois University empowers students, faculty, and staff to lead dynamic and diverse communities. We provide student-centered undergraduate and graduate programs characterized by innovative teaching, research, and service, grounded in interdisciplinary, regional and global perspectives. We engage our students in educational opportunities guided by a professional and diverse faculty and staff in collaboration with alumni and community partners.

Our Values

Academic Excellence

Central to our history is the commitment to teaching, to the individual learner, and to active involvement in the teaching-learning process. Western Illinois University's highly qualified and diverse faculty promotes critical thinking, engaged learning, research, and creativity in a challenging, supportive learning community. We are committed to student success in an academic environment that encourages lifelong development as learners, scholars, teachers, and mentors.

Educational Opportunity

Western Illinois University values educational opportunity and welcomes those who show promise and a willingness to work toward achieving shared educational goals. We are committed to providing accessible, high quality educational programs and financial support for our students.

Personal Growth

Western Illinois University values the development of the whole person. We are committed to providing opportunities for personal growth in an environment that supports the development of wellness, ethical decision making, and personal responsibility in the global community.

Social Responsibility

Western Illinois University is committed to equity, social justice, and diversity and will maintain the highest standards of integrity in our work with others. We create an environment that fosters and promotes civic engagement. We serve as a resource for and stimulus to educational, cultural, environmental, community and economic development in our region and well beyond it.

Our Niche

Providing from day one of the student's experience high impact, applied learning experiences inside and outside of the classroom, leading to employment and/or graduate school placement upon graduation.

Executive Summary

This *Strategic Plan* was written at a time when Western Illinois University and the Illinois public universities face decreasing enrollments, increasing competition, and uncertainty in state funding. This is the first-time in the 159-year history of Illinois public higher education that the State of Illinois failed to pass an annual appropriation for higher education. We were in the 10th month of the unprecedented budget impasse when we received approximately \$21 million in appropriations for university personnel and operating budgets, and administration of state-need based financial aid funding (approximately 1/3rd of total Fiscal Year 2016 appropriated expenses).

A strong history of conservative fiscal management and building of institutional reserves enabled the University to withstand the current fiscal climate. However, use of reserve funding is finite, and the practice is not sustainable. Therefore, the President's Leadership Team completed ten months of institutional planning given that early FY16 forecasts suggested reduced state funding (but not the current state budget impasse) was probable.

The resultant *Western Illinois University: A Center for Regional Excellence and Engagement* is the University's plan for a long-term sustainable future based on the changing landscape of Illinois public higher education. It is designed to stabilize enrollment, provide responsive academic programs, support innovation, decrease institutional reliance on state funding, and increase business acumen. Successful implementation of the goals and priorities in this *Plan* will advance our Vision, Mission, Values, and Niche in Illinois public higher education.

Following this plan, we will:

1. **Stabilize Enrollment.** Through the values of academic excellence and educational opportunity, Western Illinois University seeks high-achieving, diverse students with a commitment to educational and career success. New recruitment strategies will be implemented for high school, community college, distance education, international, honors, and working students in order to negate previous enrollment declines. Reversing these trends and stabilizing enrollment also means increasing the University's retention and graduation rates.
 - A. Expand enrollment in the High School Admissions Program.
 - B. Increase student enrollment from the 16-counties closest to the Western Illinois University Macomb and Quad Cities campuses.
 - C. Increase community college agreements and transfer student enrollment.
 - D. Achieve a 10% participation rate of currently enrolled students in Centennial Honors College.
 - E. Engage in corporate student recruitment.
 - F. Enroll 1,000 international students.
 - G. Participate in other activities designed to increase undergraduate and graduate student enrollment.
 - H. Continue to develop innovative strategies to address concerns of price sensitivity and elasticity.
 - I. Improve retention and graduation rates.
 - J. Engage in curricular efficiency studies to help examine trends influencing students time-to-degree.
2. **Provide Responsive Programs.** The academic portfolio of Western Illinois University is based on supply, demand, and need in the Western Illinois University service region. We focus on service to the region, high demand and niche programs, and the breadth and depth of the educational experience in leading students to careers and/or graduate studies. Western Illinois University will make the necessary

but difficult decisions program decisions and engage in strategic reallocation of resources (see Goal #5). At the same time we will support new mission-driven programs that meet approval processes and criteria for responsiveness.

- A. Continue to ground general education in the liberal arts to equip students with the necessary foundational skills to be successful in their chosen majors, professions, and continued graduate and professional studies.
- B. Update Signature Program analyses.
- C. Engage in cyclic program reviews, documenting continuous improvements resulting from review processes.
- D. Document continuous improvements resulting from annual assessment of student learning processes.
- E. Achieve and maintain discipline-specific accreditation, where appropriate to the discipline.
- F. Identify and eliminate barriers to interdisciplinary collaboration.
- G. Document new program areas.
- H. Develop a university planning process that documents workforce and graduate school placement rates, uses data from secondary sources (e.g., Occupational Outlook Quarterly) to evaluate demand and serve as the base for enrollment targets.

3. **Support Innovation.** Increasing competition for students compounded by the new fiscal era requires that Western Illinois University identify and effectively serve new markets and that we serve students with educational formats that meet their needs. Expanding distance learning increases opportunity to increase enrollment in the region, across the United States, and around the world. In serving new markets and modalities, we must reinforce a culture that supports and values innovation.

- A. Design and implement an Executive Corporate Cluster as a means to increase student recruitment, sponsored credit, internships, advisory board service, on campus recruitment fairs, engagement of external experts, and the basis for future philanthropic relationships.
- B. Respond to changing market conditions by offering increased hybrid programs and increased online degrees.
- C. Document other forms of innovation in academic departments and administrative units.

4. **Reduce Reliance on State Funding.** Delayed and decreasing appropriations shows that the Western Illinois University must reduce its reliance on state funding in order to support continued advancement of the vision, mission, values, and niche of the University. We will secure alternative funding sources to support and sustain university operations. Cost increases to students and their families will continue to be carefully made. Western Illinois University serves a large percentage of low-income students. Educational access and affordability are defining characteristics and traditions of the University.

- A. Establish giving expectations for the Western Illinois University Board of Trustees.
- B. Establish annual external revenue generation goals for the President, Vice Presidents, and Deans.
- C. Review contracts and grants rules and policies to identify and remove impediments to institutional effectiveness in generating external revenue.
- D. Increase revenue from contracts and grants.
- E. Transition funding of public service units from state appropriated funding,

5. **Increase Business Acumen.** Western Illinois University will continue to provide public opportunity. We will use best business practices to support and enhance the University's tradition of conservative fiscal management. Results of the University's strategic planning will continue to be communicated to the Western Illinois University and larger external community.
 - A. Make necessary but difficult decisions on program continuation in recognition that a comprehensive university cannot be all things to all people.
 - B. Engage in strategic reinvestment of institutional resources to support growth and academic excellence, with a three-year (FY16-FY18) \$20 million reduction and reinvestment goal.
 - C. Identify opportunities to streamline and improve efficiencies.
 - D. Restore institutional reserve funding.
 - E. Communicate the plan and its results, internally and externally.

A Center for Regional Excellence and Engagement positions Western Illinois University for a sustainable future.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/10

Release of December 17, 2015, January 25, 2016 and March 10, 2016
and April 20, 2016 Closed Session Meeting Minutes

Resolution:

WHEREAS pursuant to Section 5 ILCS 120/c of the Open Meetings Act, the Board of Trustees of Western Illinois University will eliminate the recordings of the minutes of December 19, 2014 and March 27, 2015; and,

WHEREAS pursuant to Section 2.06(c) of the Open Meetings Act, the Board of Trustees of Western Illinois University has reviewed the minutes of the closed session minutes of December 17, 2015, January 25, 2016, and March 10, 2016, and April 20, 2016 to determine whether the need for confidentiality still exists with respect to all or part of the minutes:

THEREFORE be it resolved the Board of Trustees of Western Illinois University has determined that the need for confidentiality still exists and it will review the December 17, 2015, January 25, 2016, and March 10, 2016, and April 20, 2016 minutes again at the October 7, 2016, Board Meeting to make a final determination with regard to its release.

WESTERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/11
Revision to Board *Regulations*,
II.C.7.b.(4) Vacation and II.C.7.d.(4)(a) Sick Leave – First Reading

Resolution:

WHEREAS the Board of Trustees of Western Illinois University must approve changes to regulations prior to implementation:

THEREFORE be it resolved that the Board of Trustees of Western Illinois University approves First Reading of the revision to the *Regulations* II.C.7.b.(4) Vacation and II.C.7.d.(4)(a) Sick Leave.

C. Civil Service Employees (continued)

7. Employee Benefits (continued)

b. Vacation Leave

- (1) Non-exempt employees, as defined by Fair Labor Standards Act criteria, shall earn vacation leave in accordance with the following schedule:

<u>Years of Service Completed</u>		<u>Rate Earned/Hr. of Pay Status</u>	<u>Equiv. Days Per Year</u>
<u>At Least</u>	<u>Not More Than</u>		
0	3	.0462	12
3	6	.0577	15
6	9	.0692	18
9	14	.0808	21
14		.0962	25

- (2) Exempt employees, as defined by Fair Labor Standards Act criteria, shall earn vacation leave in accordance with the following schedule:

<u>Years of Service Completed</u>		<u>Leave Days Earned Per Year</u>
<u>At Least</u>	<u>Not More Than</u>	
0	3	25
3	6	26
6	9	27
9		28

- (3) Beginning October 1, 1972, where there has been a break in service, the service year shall be determined by the addition of all previous periods of State service which qualified for earning of vacation leave.

- (4) ~~An employee who leaves the service of the University for any reason, provided that the employee is not reemployed within 30 calendar days at the same place of employment, shall receive pay for vacation leave to the extent of any accrued balance as of the date of separation from service. No vacation leave will be available or payable for employees whose service is terminated prior to the completion of the probationary period.~~

Employees who have completed probation will be compensated for accrued vacation upon separation from the University. Vacation time will be paid at their current rate of compensation and will be remitted in the next available payroll following separation.

Employees who are re-employed within 30 calendar days are ineligible for vacation payout.

II. Employees (continued)

C. Civil Service Employees (continued)

7. Employee Benefits (continued) (~~Approved June 7, 2013~~)

d. Sick Leave (continued)

- (4) (a) ~~Upon termination of employment at the University and provided the employee is not reemployed at the same place of employment within 30 calendar days, an employee, or such employee's estate, shall be entitled to a lump sum payment for accrued sick leave earned on or after January 1, 1984 and prior to January 1, 1998.~~

Employees who have completed probation will be compensated for accrued sick leave earned on or after January 1, 1984 and prior to January 1, 1998 upon separation from the University.

Employees who are re-employed within 30 calendar days are ineligible for sick leave payout.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution 16.6/12 University Professionals of Illinois (UPI) Local 4100 Memorandum of Understanding

Resolution:

WHEREAS the Board of Trustees, or its designee, and the Union may agree to amend or modify any of the provisions of the collective bargaining agreement between the parties; and,

WHEREAS the parties agreed to amend the collective bargaining agreement by Memorandum of Understanding on May 3, 2016 and the same was ratified by the WIU Chapter of University Professionals of Illinois (UPI) on May 10, 2016;

THEREFORE be it resolved that the Western Illinois University Board of Trustees approves the Memorandum of Understanding as presented.

Western Illinois University and UPI Local 4100 jointly agree to the following Memorandum of Understanding:

1. Starting July 1, 2016 (FY'17),
 - a. UPI members agree to one or both of the following:
 - i. rescind the previously agreed upon 1% salary increase for FY'17
 - ii. reduce base salaries by 3% from their FY'16 levels for both FY'17 and FY'18
 - b. In the event that base salaries are reduced by 3%, the University agrees to restore base salaries to their FY'16 amount for FY'19. "Base salary" does not include compensation for PAA's, promotional increases or other similar salary items.
2. The 3% base salary reduction for FY'17 and FY'18 will be considered a deferral, and will be paid back in equal amounts in FY'19 and FY'20 if both of the following conditions (a. and b.) are met:
 - a. The FY'16 General Revenue Funds (GRF) budget is at least 60% of the FY'15 GRF budget.
 - b. In FY'19 the University-wide Full-Time Equivalent (FTE) enrollment and GRF (State Appropriation) equals 90% of their FY'15 levels. Specifically,

the FTE enrollment must be 8,950 or greater and the GRF budget must be \$46,300,000 or greater.

3. If condition 2.b. is not met by the 10th day of the Fall 2018 semester (FY'19) and by the passing of the FY'19 GRF budget, this agreement sunsets, and the deferred monies will not be repaid. If condition 2.b. is met, but condition 2.a. is not met by the 10th day of the Fall 2018 semester (FY' 19) and by the passing of the FY 19' GRF budget, a reopener will be triggered to discuss deferral.
4. UPI members with an FY'16 annual salary of \$40,000 or less are exempt from the salary deferral section (1.a.ii) of this agreement.
5. Unit A faculty members given layoff notice during their Probationary Year 6 (PY6) will be eligible for repayment of the 3% salary deferral as part of their exit payout in May, 2017.
6. ASPs will have the option of taking the equivalent number of unpaid leave days in lieu of the deferral arrangement outlined above. The decision to opt for unpaid leave days, rather than salary deferral, must be made by July 1, 2016, and will be in effect through June 30, 2018.
7. UPI members will have the opportunity to voluntarily defer more of their salary than required by this agreement (or, in the case of ASPs, take more than the required furlough days). However, as with the basic deferral described in 1.a.ii, the voluntary deferral will not be repaid unless the conditions stipulated in 2.a. and 2.b. are met.
8. If an employee signs, prior to July 1, 2016, an irrevocable election agreement to retire by June 30, 2018 the employee will be exempt from the conditions set forth in sections 1.a.i and 1.a.ii of this agreement. If an employee signs, prior to July 1, 2017, an irrevocable election agreement to retire by June 30, 2019 the employee will be exempted from the salary deferral conditions set forth in section 1.a.ii of this agreement in FY'18 and will be eligible for repayment during FY'19 under the terms listed in #2 above.
9. Additionally, the University agrees to the following considerations for FY'17 and FY'18:
 - a. If 1.a.i above is approved by the UPI membership: terminal/compassionate care leave will be increased from 10 to 15 days.
 - b. If 1.a.ii above is approved by the UPI membership: one course of tutored study per faculty member per academic year (Fall and Spring semester) would be waived, notice of non-retention for Unit B Senior Associate Faculty will be extended from one month to nine months, and procedures will be investigated for alleviating the annual leave cap during the academic year which will be reset on September 1st of each year.

10. This Memorandum of Agreement constitutes the complete and entire understanding of the Parties and supersedes any and all prior or contemporaneous proposals related to current negotiations.
11. The terms and conditions set forth in this Memorandum of Agreement will remain in effect until such time as the conditions set forth herein are met or upon mutual agreement by the parties.
12. In the event that the terms of this Memorandum of Agreement conflict with the terms of the parties' current collective bargaining agreement (2010-2017) or any past practice, the terms of this Memorandum of Agreement shall control. To the extent that this Memorandum of Agreement shall remain in effect at or subsequent to the time the parties' negotiate a successor or supplement to the current collective bargaining agreement, any conflict between the terms of this Memorandum of Agreement and the terms of any such agreement reached between the parties shall be resolved by reference to the terms of this Memorandum of Agreement exclusively.
13. The parties agree to address any disputes arising out of this Agreement using the grievance and arbitration procedures set forth in Article 6 of the 2011-2017 Agreement between the parties.
14. This MOU does not affect the rights of the parties pursuant to Article 10 of the 2011-2017 Agreement.

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/13 Election of Officers of the Board for July 1, 2016-June 30, 2017

Resolution:

WHEREAS Section 35-25 of Senate Bill 241 states:

“Members of the Board shall elect annually by secret ballot from their own number a chairman who shall preside over meetings of the Board and a secretary”; and,

WHEREAS the Board of Trustees Bylaws, Section VII.A.1., stipulates:

“The Chair, Vice Chair, and Secretary shall be elected annually by secret ballot by a majority of the voting members of the Board then serving and shall hold office until their successors are elected. Trustees who are elected to serve as the Chair, Vice Chair and Secretary may be elected to those positions for one successive term, after which an intervening term must occur before re-election to the same officer position”; and,

(History of Officers of the Board Attached)

WHEREAS the Board of Trustees at its July 25, 1997, meeting, agreed to elect officers in conjunction with the fiscal year; and the Western Illinois University fiscal year is July 1-June 30:

THEREFORE be it resolved that the person elected at the June 10, 2016 meeting of the Board of Trustees shall serve as Officers of the Western Illinois University Board of Trustees for July 1, 2016-June 30, 2017.

**WESTERN ILLINOIS UNIVERSITY
BOARD OF TRUSTEES
HISTORY - OFFICERS OF THE BOARD**

January 1 - June 30, 1996

Chair	Gretchen Winter
Vice Chair	Lorraine Epperson
Secretary	Dexter Yarbrough

July 1, 1996 - June 30, 1997

Chair	Gretchen Winter
Vice Chair	Lorraine Epperson
Secretary	Dexter Yarbrough

July 1, 1997 - June 30, 1998

Chair	Lorraine Epperson
Vice Chair	C. Robert Leininger
Secretary	Dexter Yarbrough
Member At Large	Maureen Schuering

July 1, 1998 - June 30, 1999

Chair	Lorraine Epperson
Vice Chair	C. Robert Leininger
Secretary	Dexter Yarbrough
Member At Large	Maureen Schuering

July 1, 1999 - June 30, 2000

Chair	Lorraine Epperson
Vice Chair	C. Robert Leininger
Secretary	Dexter Yarbrough
Member At Large	Maureen Schuering

July 1, 2000 - June 30, 2001

Chair	Carolyn J. Ehlert
Vice Chair	Dexter Yarbrough (until 1/15/01; no Vice Chair 1/15/01-6/30/01)
Secretary	J. Michael Houston
Member At Large	George J. Guzzardo

July 1, 2001 - June 30, 2002

Chair	Carolyn J. Ehlert
Vice Chair	Zack Stamp
Secretary	J. Michael Houston

July 1, 2002 - June 30, 2003

Chair	Zack Stamp
Vice Chair	J. Michael Houston
Secretary	Dace Richardson

July 1, 2003 - June 30, 2004

Chair	Zack Stamp (until 1/16/04) J. Michael Houston (1/16/04-6/30/04)
Vice Chair	J. Michael Houston (until 1/16/04/04; then became Chair) Dace E. Richardson (3/5/04-6/30/04)
Secretary	Dace E. Richardson (until 3/5/04; then became Vice Chair) Trish K. Hammond (3/5/04-6/30/04)

July 1, 2004 - June 30, 2005

Chair	J. Michael Houston
Vice Chair	Dace E. Richardson
Secretary	William L. Epperly

July 1, 2005 - June 30, 2006

Chair	J. Michael Houston
Vice Chair	Dace E. Richardson (until 11/3/05; no Vice Chair 11/4/05-6/30/06)
Secretary	William L. Epperly

July 1, 2006 - June 30, 2007

Chair	William L. Epperly
Vice Chair	Steven L. Nelson
Secretary	Robert J. Cook

July 1, 2007 - June 30, 2008

Chair	William L. Epperly
Vice Chair	Steven L. Nelson
Secretary	Robert J. Cook (until 5/22/08)

July 1, 2008 - June 30, 2009

Chair	Steven L. Nelson
Vice Chair	J. Michael Houston
Secretary	Donald W. "Bill" Griffin

July 1, 2009 - June 30, 2010

Chair	Steven L. Nelson
Vice Chair	J. Michael Houston
Secretary	Donald W. "Bill" Griffin

July 1, 2010 – September 30, 2011

Chair	J. Michael Houston
Vice Chair	Carolyn Ehlert Fuller
Secretary	William L. Epperly

October 1, 2011 – October 24, 2011

Chair	Carolyn Ehlert Fuller
Vice Chair	William L. Epperly
Secretary	Steven L. Nelson

October 25, 2011 – December 16, 2011

Interim Chair	William L. Epperly
Vice Chair	Vacant
Interim Secretary	Donald W. “Bill” Griffin

December 17, 2011 – June 30, 2012

Chair	William L. Epperly
Vice Chair	J. Michael Houston
Secretary	Donald W. “Bill” Griffin

July 1, 2012 – February 24, 2013

Chair	William L. Epperly
Vice Chair	Carolyn Ehlert Fuller
Secretary	Donald W. “Bill” Griffin

February 25, 2013 – June 30, 2013

Chair	William L. Epperly
Vice Chair	Carolyn Ehlert Fuller
Acting Secretary	Cathy Early

July 1, 2013 – June 30, 2014

Chair	Cathy Early
Vice Chair	Carolyn Ehlert Fuller
Secretary	Lyneir Cole

July 1, 2014 – June 30, 2015

Chair	Cathy Early
Vice Chair	Roger Clawson
Secretary	Phil Hare

July 1, 2015 – February 20, 2016

Chair	Roger Clawson
Vice Chair	Yvonne Savala
Secretary	Phil Hare

February 21, 2016 – March 11, 2016

Chair	Roger Clawson
Vice Chair	Yvonne Savala
Acting Secretary	Michael Quigley

March 12, 2016 – June 30, 2016

Chair	Roger Clawson
Vice Chair	Yvonne Savala
Secretary	Michael Quigley

WESTERN ILLINOIS UNIVERSITY BOARD OF TRUSTEES

June 10, 2016

Resolution No. 16.6/14 Trustee Appreciation – Michael Quigley

Resolution:

WHEREAS Mr. Michael Quigley has admirably served the students of Western Illinois University as a member of the Western Illinois University Board of Trustees beginning July 1, 2014 and will continue to serve through June 30, 2016; and,

WHEREAS Mr. Quigley conscientiously served the citizens of the State of Illinois as the Secretary of the Board of Trustees, and as a member of the Academic and Student Services Committee including overseeing the establishment of tuition, fees, and room and board rates, including a 3% tuition reduction for incoming undergraduate students for Fall 2016; and,

WHEREAS Mr. Quigley, during his tenure, witnessed renovations to the University Union, renovations to the Sherman Hall cupola, improvements to the Alumni House, improvements to Q-Lot, the remodel of Tanner Hall Lobby, the addition of the first electronic car charging station in McDonough County, and the opening of Phase II of the Quad Cities Campus; and,

WHEREAS Mr. Quigley, was an advocate for the updating and upgrading of campus technology and wireless access, the adoption of Google Apps for Education, and was a proponent for enhanced student judicial hearing procedures; and,

WHEREAS Mr. Quigley, worked closely with fellow student leaders to host a State of the Student Address in March 2016 to inform the students and University community about what is happening at the University, as well as what students have been doing and what students can do to advocate for and support Western Illinois University; and,

WHEREAS Mr. Quigley has served as a role model for fellow students and for student trustee peers:

THEREFORE be it resolved that the Western Illinois University Board of Trustees expresses its heartfelt appreciation and congratulations for the invaluable service Mr. Michael Quigley has provided to Western Illinois University, the Board of Trustees, and the State of Illinois as a member of the Western Illinois University Board of Trustees from July 1, 2014 – June 30, 2016.